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# Mathematical Gauge Theory 1

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# 1 Lie groups and Lie algebras

**Definition.** A *smooth/differentiable manifold*  $M$  is a topological space with the property that

- (1)  $M$  is Hausdorff
- (2)  $M$  is second countable, i.e. there is a countable basis of its topology
- (3) There exists a covering of  $M$  by open  $U_i$ ,  $i \in I$ , and homeomorphisms  $\varphi_i: U_i \rightarrow \mathbb{R}^n$  such that when  $U_i \cap U_j \neq \emptyset$ , then  $\varphi_j \circ \varphi_i^{-1}: \varphi_i(U_i \cap U_j) \rightarrow \varphi_j(U_i \cap U_j)$  is a diffeomorphism.

If  $f: M \rightarrow N$  is a smooth map between smooth manifolds, then there is a derivative  $Df: TM \rightarrow TN$ .  $Df$  is linear on the fibers of  $TM$ , i.e.  $D_p f: T_p M \rightarrow T_{f(p)} N$  is linear, and the following diagram commutes:

$$\begin{array}{ccc} TM & \xrightarrow{Df} & TN \\ \pi \downarrow & & \pi \downarrow \\ M & \xrightarrow{f} & N \end{array}$$

$\mathfrak{X}(M) := \{X: M \rightarrow TM \mid X \text{ is smooth} \wedge \pi \circ X = \text{id}_M\}$  is the set of smooth sections of  $TM$ , i.e. vector fields on  $M$ . For  $f \in C^\infty(M)$  we have  $L_X f \in C^\infty(M)$ , the Lie derivative of  $f$  in the direction of  $X$ .  $[X, Y]$  is the unique vector field with the property  $L_{[X, Y]} f = L_X L_Y f - L_Y L_X f$ .

**Definition.** A (real) *Lie algebra* is an  $\mathbb{R}$ -vector space  $\mathfrak{g}$  together with a bilinear map  $[-, -]: \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  satisfying:

- (1)  $[v, w] = -[w, v]$
- (2)  $[[x, y], z] + [[y, z], x] + [[z, x], y] = 0$

**Example.**

- (1)  $\mathfrak{X}(M)$  with  $[-, -]$  defined by the Lie bracket is an  $\infty$ -dimensional Lie algebra.
- (2) Let  $V$  be any  $\mathbb{R}$ -vector space. Then  $[-, -] = 0$  defines a Lie algebra. These are abelian Lie algebras.
- (3)  $\text{Mat}(n \times n, \mathbb{R}) = \mathfrak{gl}(n, \mathbb{R})$ ,  $[A, B] = AB - BA$ .

**Definition.** A *Lie group* is a group which is also a smooth manifold and has the property that  $G \times G \rightarrow G$ ,  $(a, b) \mapsto ab^{-1}$  is smooth.

**Example.**

- (1) Any finite dimensional  $\mathbb{R}$ -vector space  $V$  with the group structure given by  $+$ .
- (2)  $\text{GL}(n, \mathbb{R})$

(3) subgroups of  $\mathrm{GL}(n, \mathbb{R})$ :  $\mathrm{O}(n)$ ,  $\mathrm{SO}(n)$ ,  $\mathrm{GL}(n, \mathbb{C})$ ,  $\mathrm{U}(n)$ ,  $\mathrm{SU}(n)$ .

A Lie group  $G$  acts on itself by left multiplication. For any  $g \in G$ ,  $\ell_g: G \rightarrow G, a \mapsto ga$  is a smooth map.  $\ell_g$  is a diffeomorphism with inverse  $(\ell_g)^{-1} = \ell_{g^{-1}}$ .

**Definition.** A vector field  $X \in \mathfrak{X}(G)$  is called *left-invariant* if  $X_{ga} = X_{\ell_g(a)} = (D_a \ell_g)X_a$ .

**Proposition.** *The subset of left-invariant vector fields in  $\mathfrak{X}(G)$  is a linear subspace closed under the Lie bracket  $[-, -]$ . Thus it is a Lie algebra.*

*Proof.*  $X$  left invariant means  $(D\ell_g)X = X \circ \ell_g$  for all  $g \in G$ . Assume that  $X, Y$  are both left invariant. Then  $[X, Y] = [(D\ell_g)X, (D\ell_g)Y] = (D\ell_g)[X, Y]$  for all  $g \in G$ . So  $[X, Y]$  is also left-invariant.  $\square$

**Definition.**  $\mathfrak{X}(G)$  with the Lie bracket  $[-, -]$  is the *Lie algebra*  $\mathfrak{g} = \mathrm{L}(G)$  of the Lie group  $G$ .

**Convention.** From now on,  $G, H$  are always Lie groups and  $\mathfrak{g} = \mathrm{L}(G)$ ,  $\mathfrak{h} = \mathrm{L}(H)$  its Lie algebras.

**Definition.** Let  $G$  be a Lie group with neutral element  $e \in G$ . Define  $\mathrm{ev}: \mathfrak{g} \rightarrow T_e G, X \mapsto X_e$ .

**Proposition.** *ev is an isomorphism of  $\mathbb{R}$ -vector spaces.*

*Proof.*

(1)  $\mathrm{ev}$  is clearly linear.

(2)  $\mathrm{ev}$  is injective: Suppose  $X, Y \in \mathfrak{g}$  and  $\mathrm{ev}(X) = \mathrm{ev}(Y)$ . This means  $X_e = Y_e \Rightarrow (D_e \ell_g)X_e = (D_e \ell_g)Y_e \Rightarrow X_g = Y_g \Rightarrow X = Y$ .

(3)  $\mathrm{ev}$  is surjective: Take  $v \in T_e G$ . Define  $X \in \mathfrak{X}(G)$  by  $X_g := (D_e \ell_g)(v)$ . This is a smooth vector field and  $X_{ga} = (D_e \ell_{ga})(v) = (D_e(\ell_g \circ \ell_a))(v) = (D_a \ell_g \circ D_e \ell_a)(v) = (D_a \ell_g)(X_a)$ .  $\square$

**Corollary.** *The dimension of  $G$  is constant and equals the dimension of  $\mathfrak{g}$  as  $\mathbb{R}$ -vector space.*

*Proof.* Denoting by  $G_g$  the connected component of  $G$  containing  $g \in G$ ,  $\ell_g: G_e \rightarrow G_g$  is a diffeomorphism for every  $g \in G$ . Thus all connected components have the same dimension and  $\dim G_0 = \dim T_e G = \dim \mathfrak{g}$ .  $\square$

**Corollary.** *The tangent bundle of  $G$  is globally trivial, i.e.  $G$  is parallelizable.*

*Proof.* Consider  $G \times \mathfrak{g} \rightarrow TG, (g, X) \mapsto X_g$ . This sends the fiber of  $G \times \mathfrak{g}$  over  $g \in G$  to  $T_g G$  linearly. At the point  $e$  we have  $(e, X) \mapsto X_e$ , which is an isomorphism by the result about the evaluation map. For arbitrary  $g \in G$  we have:

$$\begin{array}{ccc} \{g\} \times \mathfrak{g} & \longrightarrow & T_g G \\ \simeq \uparrow \ell_g \times \mathrm{id}_{\mathfrak{g}} & & \simeq \uparrow D_e \ell_g \\ \{e\} \times \mathfrak{g} & \longrightarrow & T_e G \end{array}$$

This implies that the top horizontal map is an isomorphism, as claimed.  $\square$

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**Definition.** A one-parameter subgroup of  $G$  is a smooth map  $s: \mathbb{R} \rightarrow G$  with  $s(0) = e$  and  $s(t_1 + t_2) = s(t_1)s(t_2)$ .

**Example.** Consider  $G = U(1) = \{z \in \mathbb{C} \mid |z| = 1\}$ . Then  $s: \mathbb{R} \rightarrow U(1), t \mapsto e^{2\pi it}$  is a (not injective) one-parameter subgroup.

**Proposition.**

- (1) Every left-invariant vector field on  $G$  is complete, i.e. it generates a global flow on  $G$ .
- (2) For every  $X \in \mathfrak{g}$  there is a unique one-parameter subgroup  $s_X: \mathbb{R} \rightarrow G$  such that  $\dot{s}_X(0) := D_0 s_X(\partial_t) = X_e$ . The flow of  $X$  is given by  $\varphi: \mathbb{R} \times G \rightarrow G, (t, g) \mapsto g s_X(t) = \ell_g(s_X(t))$ .

**Notation.** We write  $\varphi_t(g) := \varphi(t, g)$ .

*Proof.* Given a left-invariant vector field, there is a local flow at  $e \in G$ :

$$\varphi: (-\varepsilon, \varepsilon) \times U \longrightarrow G$$

where  $U$  is an open neighbourhood of  $e$  in  $G$ ,  $\varepsilon > 0$ . For any  $g \in G$  consider

$$\psi: (-\varepsilon, \varepsilon) \times \ell_g(U) \longrightarrow G \quad \psi := \ell_g \circ \varphi \circ (\text{id}_{\mathbb{R}} \times \ell_g^{-1}) \quad \Rightarrow \quad \psi_t = \ell_g \circ \varphi_t \circ \ell_g^{-1}$$

Claim:  $\psi$  is a local flow around  $g$  for  $X$ . Proof:  $\ell_g(U)$  is an open neighbourhood of  $g$  in  $G$ . First we check that  $\psi$  defines a local flow:

$$\psi_0(h) = g\varphi_0(g^{-1}h) = gg^{-1}h = h$$

$$\psi_{t_1+t_2} = \ell_g \circ \varphi_{t_1+t_2} \circ \ell_g^{-1} = \ell_g \circ \varphi_{t_1} \circ \varphi_{t_2} \circ \ell_g^{-1} = \ell_g \circ \varphi_{t_1} \circ \ell_g^{-1} \circ \ell_g \circ \varphi_{t_2} \circ \ell_g^{-1} = \psi_{t_1} \circ \psi_{t_2}$$

So  $\psi$  is a flow generated by some vector field, which we can calculate by differentiating the flow lines of  $\psi$ . To do this, consider the flow lines defined by

$$s_h(t) := \psi_t(h) = g\varphi_t(g^{-1}h) = (\ell_g \circ s_{g^{-1}h})(t)$$

Then

$$\begin{aligned} \dot{s}_g(0) &= D_0 s_g(\partial_t) = D_0(\ell_g \circ s_e)(\partial_t) = (D_{s_e(0)} \ell_g \circ D_0 s_e)(\partial_t) = (D_{\varphi_0(e)} \ell_g \circ D_0 s_e)(\partial_t) = \\ &= D_e \ell_g(D_0 s_e(\partial_t)) = D_e \ell_g(\dot{s}_e(0)) = D_e \ell_g(X_e) = X_g \end{aligned}$$

This proves the claim.

These local flows defined at different points in  $G$  are all defined for the same time interval  $(-\varepsilon, \varepsilon)$ , and so define a flow  $\bar{\varphi}: (-\varepsilon, \varepsilon) \times G \rightarrow G$  for  $X$ .  $\bar{\varphi}$  can be extended to all  $t \in \mathbb{R}$ , so  $X$  is complete.

To prove part (2) fix  $X \in \mathfrak{g}$ . By (1) we have a global flow  $\varphi: \mathbb{R} \times G \rightarrow G$  for  $X$ . Define  $s_X(t) := \varphi_t(e)$ . Since

$$\varphi_t(g) = (\ell_g \circ \varphi_t \circ \ell_g^{-1})(g) = \ell_g(\varphi_t(e)) = g\varphi_t(e)$$

we have  $s_X(0) = \varphi_0(e) = e$  and

$$s_X(t_1 + t_2) = \varphi_{t_1+t_2}(e) = \varphi_{t_2+t_1}(e) = \varphi_{t_2}(\varphi_{t_1}(e)) = \varphi_{t_1}(e)\varphi_{t_2}(e) = s_X(t_1)s_X(t_2)$$

Also  $\dot{s}_X(0) = X_e$ , since  $s_X$  is the flow line at  $e$ , and the formula  $\varphi_t(g) = gs_X(t)$  follows from the claim above. One can easily check that  $\varphi$  defined by this formula is a global flow for any one-parameter subgroup  $s_X$  and thus  $s_X$  is unique by the uniqueness of global flows.  $\square$

**Definition.** The map  $\exp: \mathfrak{g} \rightarrow G, X \mapsto s_X(1)$  is the *exponential map* of  $G$ .

**Example.** Let  $G = \mathrm{GL}(n, \mathbb{R})$  and  $\mathfrak{g} = \mathfrak{gl}(n, \mathbb{R}) = \mathrm{Mat}(n \times n, \mathbb{R})$ . Then  $\exp$  is given by the usual exponential function.

**Lemma.**  $s_X(t) = \exp(tX)$ .

*Proof.* Clear.

**Definition.** A homomorphism of Lie groups is a smooth map  $f: G \rightarrow H$  which is also a group homomorphism.

**Proposition.** Any homomorphism  $f: G \rightarrow H$  as above induces an  $\mathbb{R}$ -linear homomorphism  $f_*: \mathfrak{g} \rightarrow \mathfrak{h}$  such that  $f_*[X, Y] = [f_*X, f_*Y]$ .

*Proof of proposition.* Since  $f$  is a smooth group homomorphism, it has a derivative at  $e$  and  $f(e) = e$ .

$$\begin{array}{ccc} T_e G & \xrightarrow{D_e f} & T_e H \\ \uparrow \simeq \mathrm{ev} & & \uparrow \simeq \mathrm{ev} \\ \mathfrak{g} & \xrightarrow{f_*} & \mathfrak{h} \end{array}$$

Under the homomorphism provided by  $\mathrm{ev}$ ,  $D_e f$  corresponds to a unique linear  $f_*$ .

**Lemma.** For any  $X \in \mathfrak{g}$  and  $g \in G$  we have  $(D_g f)(X_g) = (f_*X)_{f(g)}$ .

*Proof.* Direct calculation using the left-invariance of  $X$  and  $f(e) = e$ .

$$\begin{aligned} (D_g f)(X_g) &= D_g f \circ D_e \ell_g(X_e) = D_e(f \circ \ell_g)(X_e) = D_e(\ell_{f(g)} \circ f)(X_e) = \\ &= D_e \ell_{f(g)} \circ D_e f(X_e) = D_e \ell_{f(g)}((f_*X)_e) = (f_*X)_{f(g)} \end{aligned} \quad \square$$

Using this lemma, we can show that  $f_*X(h) \circ f = X(h \circ f)$  for any  $X \in \mathfrak{X}(M)$  and  $h \in C^\infty(H)$ :

$$(f_*X)(h)(f(g)) = (f_*X)_{f(g)}[h]_{f(g)} = (D_g f)(X_g)[h]_{f(g)} = X_g[h \circ f]_g = X(h \circ f)(g)$$

Thus, applying this successively:

$$\begin{aligned} [f_*X, f_*Y](h) \circ f &= f_*X(f_*Y(h)) \circ f - f_*Y(f_*X(h)) \circ f = \\ &= X(f_*Y(h) \circ f) - Y(f_*X(h) \circ f) = \\ &= X(Y(h \circ f)) - Y(X(h \circ f)) = \\ &= [X, Y](h \circ f) = f_*[X, Y](h) \circ f \end{aligned}$$

Since this holds for any  $h \in C^\infty(H)$ , we have  $[f_*X, f_*Y] \circ f = f_*[X, Y] \circ f$ , so in particular  $[f_*X, f_*Y]$  and  $f_*[X, Y]$  coincide on  $e \in H$ , hence they are equal by left-invariance, which proves the proposition.  $\square$

## 1.1 Digression on integrability and the Frobenius theorem

**Theorem.** *Let  $M$  be a smooth manifold and  $X_1, \dots, X_k \in \mathfrak{X}(M)$  with  $[X_i, X_j] = 0$  for all  $i, j$ . If  $X_1(p), \dots, X_k(p)$  are linearly independent for some  $p \in M$ , then there is a chart  $(U, \varphi)$  for  $M$  with  $p \in U$  and  $D\varphi(X_i|U) = \partial_i$  for all  $i = 1, \dots, k$ .*

*Proof.* The problem is local, so we may assume  $M$  is an open neighborhood of 0 in  $\mathbb{R}^n$  and  $p = 0$ . We may choose  $U$  open around 0 with  $X_1, \dots, X_k$  linearly independent throughout  $U$ . After a linear change of coordinates we may assume that

$$X_1(0), \dots, X_k(0), \partial_k(0), \dots, \partial_n(0)$$

form a basis of  $\mathbb{R}^n$ . We may assume that the local flow  $\varphi^i$  for  $X_i$  is defined for all  $t \in (-\varepsilon, \varepsilon)$ ,  $i = 1, \dots, k$ .

$$f: U \rightarrow \mathbb{R}^n \quad f(x_1, \dots, x_n) = \varphi_{x_1}^1 \circ \dots \circ \varphi_{x_k}^k(0, \dots, 0, x_{k+1}, \dots, x_n)$$

is a smooth map. Moreover  $f(0) = 0$  and  $D_0f(\partial_i) = \partial_i$  for  $i = k+1, \dots, n$ . For all  $x \in U$  we have, since the flows  $\varphi^i$  commute:

$$D_xf(\partial_i) = X_i(f(x)) \quad i = 1, \dots, k$$

For  $x = 0$  we see that  $D_0f$  is an isomorphism, so  $f$  is a local diffeomorphism around 0 by the inverse function theorem. Set  $\varphi = f^{-1}$  after possibly shrinking  $U$ :

$$D\varphi(X_i|f(U)) = \partial_i \quad \square$$

Let  $M$  be a smooth manifold of dimension  $n$ .

**Definition.** A *rank  $k$  distribution* on  $M$  is a rank  $k$  subbundle  $E \subset TM$ .

What this means is that around every point  $p \in M$  there exists an open set  $U$  and  $X_1, \dots, X_k \in \mathfrak{X}(M)$  such that

$$E_x = \{X_1(x), \dots, X_k(x)\}$$

**Definition.** An *integral submanifold* for  $E$  is a  $k$ -dimensional submanifold  $N \subset M$  with  $TN = E|N$ .

**Definition.**  $E$  is called *integrable* if for all  $p \in M$  there exists an integrable submanifold  $N$  with  $p \in N$ .

**Definition.**  $E$  is *involutive* if  $[X, Y] \in \Gamma(E)$  whenever  $X, Y \in \Gamma(E)$ .

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**Theorem** (Frobenius theorem). *For a distribution  $E$  of rank  $k$  on  $M$ , the following are equivalent:*

- (1)  $E$  is integrable.
- (2)  $E$  is involutive.
- (3) There is a covering of  $M$  by domains of charts  $(U, \varphi)$  with the property that

$$(D\varphi)(E) \ni \partial_i \quad \forall i = 1, \dots, k$$

*Proof.*

3  $\Rightarrow$  1 If  $E = \text{span}\{\partial_1, \dots, \partial_k\}$ , then the equations

$$\begin{aligned} x_{k+1} &= c_{k+1} \\ &\vdots \\ x_n &= c_n \end{aligned}$$

define  $k$ -dimensional submanifolds for  $E$ .

1  $\Rightarrow$  2 Take  $X, Y \in \Gamma(E)$  and  $p \in M$ . By (1) we have a submanifold  $i: N \hookrightarrow M$  with  $p \in N$  and  $E|_N = TN$ . The restrictions of  $X, Y$  to  $N$  are vector fields on  $N$ . Furthermore,  $[X, Y]_p \in E_p$ .

2  $\Rightarrow$  3 Everything is local, so we work at  $0 \in \mathbb{R}^n$ .

Step 1: Consider the projection

$$\pi: \mathbb{R}^n \rightarrow \mathbb{R}^k, (x_1, \dots, x_n) \mapsto (x_1, \dots, x_k)$$

If for some point  $p$ ,  $D_p\pi$  is injective on  $E_p$ , then the same is true for all  $x$  in an open neighbourhood of  $p$ .

Step 2: At every point  $p$  there is a chart so that w.r.t. the coordinates given by the chart  $D_x\pi$  is an isomorphism from  $E_x$  to  $\mathbb{R}^k$  for all  $x$  in the domain of the chart. To prove this, by step 1 it is enough to ensure  $D_p\pi$  is injective. We can choose local coordinates  $(x_1, \dots, x_k)$  in such a way that if

$$X_1(p), \dots, X_k(p)$$

is a basis for  $E_p$ , then

$$X_1(p), \dots, X_k(p), \partial_{k+1}, \dots, \partial_n$$

is a basis of  $T_p\mathbb{R}^n$ .

Step 3: Let  $E, p, U, \pi$  be as above. Let  $Z_i \in \Gamma(E)$  be the unique section such that  $D_x\pi(Z_i(x)) = \partial_i(x)$  for all  $x \in U$ ,  $i = 1, \dots, k$ . So  $Z_1, \dots, Z_k$  span  $E$  throughout  $U$ .

Step 4:

$$D\pi[Z_i, Z_j] = [D\pi Z_i, D\pi Z_j] = [\partial_i, \partial_j] = 0$$

By involutivity,  $[Z_i, Z_j] \in \Gamma(E|U)$ . But  $D\pi$  is an isomorphism on  $E$  so  $[Z_i, Z_j] = 0$ . By the previous theorem, we can find a chart in which  $D\varphi(Z_i) = \partial_i$  for  $i = 1, \dots, k$ . This gives (3) in the Frobenius theorem.  $\square$

**Definition.** If  $E$  satisfies the conditions in the theorem above and  $p \in M$ , let  $L_p$  be the maximal connected submanifold of  $E$  with  $p \in L_p$ . This is called the *leaf* through  $p$ . The collection of all leaves forms a *foliation* of  $M$ .

*Remark.* The leaves of a foliation  $\mathcal{F}$  are generally not closed subsets of  $M$  and the subspace topology is not the same as the manifold topology of a leaf.

**Definition.** Let  $G$  be a Lie group,  $H \subset G$  a subset.  $H$  is a *Lie subgroup* of  $G$  if  $H$  has a Lie group structure such that the inclusion  $i: H \hookrightarrow G$  is a homomorphism of Lie groups and an injective immersion.

**Theorem.** For any Lie group  $G$ , there is a bijection between connected Lie subgroups  $H \subset G$  and Lie subalgebras  $\mathfrak{h} \subset \mathfrak{g}$ .

*Proof.* Suppose  $H \subset G$  is a Lie subgroup. Then, since  $i$  is an immersion,

$$D_e i: T_e H \rightarrow T_e G \quad \text{and} \quad i_*: \mathfrak{h} \rightarrow \mathfrak{g},$$

which are essentially the same maps, are injective. So  $i_*(\mathfrak{h})$  is a Lie subalgebra, which can be identified with  $\mathfrak{h}$ .

Conversely, let  $\mathfrak{h} \subset \mathfrak{g}$  be a Lie subalgebra. Let  $E_g := D_e \ell_g(\text{ev}(\mathfrak{h})) \subset T_g G$  for all  $g \in G$ . This is in fact the evaluation of  $\mathfrak{h}$  at  $g$ . For every  $g \in G$ ,  $E_g$  is a  $k$ -dimensional subspace of  $T_g G$  with  $k = \dim \mathfrak{h}$ . The collection of all  $E_g$  is a smooth rank  $k$  distribution  $E \subset TG$ .

Step 1:  $E$  is involutive, and thus integrable by the Frobenius theorem. To see this, let  $X_1, \dots, X_k$  be a basis for  $\mathfrak{h}$ . Then all  $X, Y \in \Gamma(E)$  are of the form

$$X = \sum_{i=1}^k f_i X_i \quad Y = \sum_{i=1}^k h_i X_i \quad f_i, h_i \in C^\infty(G)$$

Then  $[X, Y]$  is a linear combination of the  $X_i$  and the  $[X_i, X_j]$ . Since  $\mathfrak{h}$  is a Lie subalgebra,  $[X_i, X_j] \in \mathfrak{h}$  and so  $[X, Y] \in \Gamma(E)$ .

Step 2: Let  $\mathcal{F}$  be the foliation of  $G$  defined by the integral submanifolds of  $E$ , and  $H := L_e$ . Then  $L_g = \ell_g(H)$ . Proof: Both sides are connected subsets containing  $g$ .  $L_g$  is a leaf of  $\mathcal{F}$  by definition. Once we prove that  $\ell_g(H)$  is a leaf, we have the conclusion by the uniqueness of leaves. For any  $a \in G$ ,  $b \in H$  we have

$$T_{ab} \ell_a(H) = D_b \ell_a(T_b H) = D_b \ell_a(E_b) = (D_b \ell_a \circ D_e \ell_b)(\text{ev}(\mathfrak{h})) = D_e \ell_{ab}(\text{ev}(\mathfrak{h})) = E_{ab}$$

so  $\ell_a(H)$  is an integral submanifold of  $E$  and thus  $g \cdot H := \ell_g(H)$  is the leaf of  $\mathcal{F}$  through  $g$ .



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Step 3: Let  $a, b \in H$ . Then  $aH = H \ni b$ , since  $aH$  is the leaf through  $a$ . But  $b \in aH$ , implies  $a^{-1}b \in H$ , so  $H$  is a subgroup of  $G$ .

Step 4: The inclusion  $i: H \hookrightarrow G$  makes  $H$  into a Lie subgroup. Since  $D_g i(T_g H) = E_g$ ,  $i$  is an injective immersion, with the manifold structure of  $H$  given by its construction as an integral submanifold for  $E$ .

Step 5: This  $H$  is the only connected Lie subgroup of  $G$  with Lie algebra  $\mathfrak{h}$ . To prove this, suppose  $\overline{H}$  is another Lie subgroup with Lie algebra  $\mathfrak{h}$ . Both  $H, \overline{H}$  are injectively immersed in  $G$  with the same tangent space  $\text{ev}(\mathfrak{h})$  at  $e$ .  $\overline{H}$  will then be an integral submanifold for  $E$  through  $e$ . By uniqueness of the leaf through  $e$ , we must have  $H = \overline{H}$ .  $\square$

**Example.** The 2-torus  $G = T^2 = \mathbb{R}^2/\mathbb{Z}^2$  is a connected Lie group with  $e = [(0, 0)]$ . The Lie algebra is  $\mathfrak{g} = \mathbb{R}^2$  with  $[X, Y] = 0$  for all  $X, Y \in \mathfrak{g}$ . Every vector subspace  $\mathfrak{h} \subset \mathfrak{g}$  is a Lie subalgebra in this case, giving rise to a Lie subgroup. If  $\mathfrak{h} = \text{span}(1, \lambda)$ ,  $\lambda \in \mathbb{R} \setminus \mathbb{Q}$ , then the corresponding connected Lie subgroup is

$$H = \{\exp(t(1, \lambda)) \mid t \in \mathbb{R}\}$$

This is densely immersed in  $T^2$ , in particular it is not a closed subgroup.

## 1.2 Actions of Lie groups on manifolds

**Definition.** A (left) action of a Lie group  $G$  on a smooth manifold  $M$  is a smooth map

$$\mu: G \times M \rightarrow M \quad \mu(g, p) = g \cdot p = \ell_g(p)$$

such that for any  $p \in M$  and  $g, h \in G$

$$e \cdot p = p \quad g \cdot (h \cdot p) = (gh) \cdot p$$

A right action is a smooth map  $\mu: G \times M \rightarrow M$  such that for any  $p \in M$  and  $g, h \in G$

$$\mu(e, p) = p \quad \mu(g, \mu(h, p)) = \mu(hg, p)$$

For a right action, we write  $\mu(g, p) = p \cdot g = r_g(p)$ . Then the axioms become

$$p \cdot e = p \quad (p \cdot h) \cdot g = p \cdot (hg)$$

*Remark.* If  $\mu: G \times M \rightarrow M$  is a left action, we can define  $\overline{\mu}(g, p) = \mu(g^{-1}, p)$ . This is a right action.

**Definition.** Let  $\mu: G \times M \rightarrow M$  be an action of a Lie group on a smooth manifold.

(1)  $\mu$  is *effective* if for every  $g \in G \setminus \{e\}$ , there exists  $p \in M$  such that  $\mu(g, p) \neq p$ .

(2) For  $p \in M$ , the subset

$$G(p) := \{\mu(g, p) \mid g \in G\}$$

is the *orbit* of  $p$  under the action.

(3) The action is *transitive* if  $G(p) = M$  for some  $p \in M$  (and thus for all  $p \in M$ ).

(4) The *isotropy group* of  $p \in M$  is

$$G_p := \{g \in G \mid \mu(g, p) = p\}$$

**Proposition.** *Let  $f: G \rightarrow H$  be a homomorphism of Lie groups and  $f_*: \mathfrak{g} \rightarrow \mathfrak{h}$  the induced Lie algebra homomorphism. Then the following diagram commutes:*

$$\begin{array}{ccc} G & \xrightarrow{f} & H \\ \exp \uparrow & & \uparrow \exp \\ \mathfrak{g} & \xrightarrow{f_*} & \mathfrak{h} \\ \text{ev} \downarrow \simeq & & \simeq \downarrow \text{ev} \\ T_e G & \xrightarrow{D_e f} & T_e H. \end{array}$$

*Proof.*  $f(\exp(tX))$  is a  $C^\infty$  curve in  $H$  passing through  $e$  at time  $t = 0$ . Since  $f$  is a homomorphism, this is also a 1-parameter subgroup whose tangent vector at  $e$  is  $D_e f(\text{ev}(X)) = \text{ev}(f_*(X))$ . So  $f(\exp(tX))$  is the unique 1-parameter subgroup of  $H$  generated by  $f_*X = Y$ . We know that the 1-parameter subgroup generated by  $Y$  is  $\exp(tY)$ . Therefore

$$f(\exp(tX)) = \exp(tY) = \exp(tf_*X) \xrightarrow{t=1} f(\exp(X)) = \exp(f_*X) \quad \square$$

The isotropy group  $G_p$  is a closed subgroup of  $G$ . This means that  $G_p$  is actually a Lie subgroup of  $G$  (not proved). If we restrict  $\mu$  from  $G$  to  $G_p$ , then  $p$  is a fixed point for the action of  $G_p$  on  $M$ .

Under an action  $\mu: G \times M \rightarrow M$ , every  $g \in G$  gives a diffeomorphism

$$\ell_g: M \rightarrow M, p \mapsto g \cdot p$$

with inverse  $(\ell_g)^{-1} = \ell_{g^{-1}}$ .

**Lemma.** *If  $p$  is a fixed point of the action  $\mu: G \times M \rightarrow M$ , then  $G$  acts linearly on  $T_p M$ , so we have a representation  $G \rightarrow \text{GL}(T_p M)$ . This is called the isotropy representation of  $G$  at  $p$ .*

*Proof.* Since  $p$  is a fixed point, we have  $\ell_g(p) = p$  for all  $g \in G$ , so  $D_p \ell_g: T_p M \rightarrow T_p M$  is a linear isomorphism since  $\ell_g$  is a diffeomorphism. We obtain a map

$$G \rightarrow \text{GL}(T_p M), g \mapsto D_p \ell_g$$

which is smooth since  $\mu$  is smooth. It is also a homomorphism because of the chain rule:

$$D_p \ell_{g_1 g_2} = D_p(\ell_{g_1} \circ \ell_{g_2}) = D_p \ell_{g_1} \circ D_p \ell_{g_2} \quad \square$$

---

**Example.** The action

$$\mu: G \times G \rightarrow G, (g, p) \mapsto g \cdot p = \ell_g(p)$$

is effective, transitive, and  $G_p = \{e\}$  for all  $p \in G$ .

Let  $G$  act on itself by conjugation:

$$a: G \times G \rightarrow G, (g, p) \mapsto g \cdot p \cdot g^{-1} =: a_g(p)$$

Note that  $g$  and  $p$  commute in  $G$  if and only if  $a_g(p) = p$ . The isotropy group  $G_p$  of a point  $p \in G$  under the conjugation action  $a$  is the centraliser of  $p$  in  $G$ . If  $G$  has a non-trivial center, then the conjugation action  $a$  is not effective. One has  $G_e = G$  since  $geg^{-1} = e$  for all  $g \in G$ . By the Lemma, we obtain the isotropy representation of  $a$  at  $p = e$ :

$$\text{Ad}: G \rightarrow \text{GL}(T_e G).$$

This is the *adjoint representation* of  $G$  (on  $\mathfrak{g}$ ), whereas the map  $\text{ad}$  defined by

$$\begin{array}{ccc} T_e G & \xrightarrow{D_e \text{Ad}} & T_e \text{GL}(T_e G) \\ \text{ev} \uparrow \simeq & & \simeq \uparrow \text{ev} \\ \mathfrak{g} & \xrightarrow{\text{ad}} & \text{End}(\mathfrak{g}) \end{array}$$

with the identification  $\text{End}(\mathfrak{g}) = \mathfrak{gl}(T_e G)$  is the *adjoint representation* of  $\mathfrak{g}$ . Since  $\text{ad} = \text{Ad}_*$ , it is a homomorphism of Lie algebras. By the proposition, we have the commutative diagram:

$$\begin{array}{ccc} G & \xrightarrow{\text{Ad}} & \text{GL}(T_e G) \\ \text{exp} \uparrow & & \uparrow \text{exp} \\ \mathfrak{g} & \xrightarrow{\text{ad}} & \text{End}(\mathfrak{g}) \end{array}$$

Also  $a_g$  is a homomorphism of  $G$  to itself, so the following diagram commutes:

$$\begin{array}{ccc} G & \xrightarrow{a_g} & G \\ \text{exp} \uparrow & & \uparrow \text{exp} \\ \mathfrak{g} & \xrightarrow{(a_g)_*} & \mathfrak{g} \\ \text{ev} \downarrow \simeq & & \simeq \downarrow \text{ev} \\ T_e G & \xrightarrow{D_e a_g} & T_e G \end{array}$$

**Notation.** Define  $\text{ad}_X: \mathfrak{g} \rightarrow \mathfrak{g}$  by  $\text{ad}_X(Y) = \text{ad}(X)(Y)$  for any  $X, Y \in \mathfrak{g}$  and  $\text{Ad}_g: T_e G \rightarrow T_e G$  by  $\text{Ad}_g(X) = \text{Ad}(g)(X)$  for any  $g \in G$  and  $X \in T_e G$ .

Then  $(a_g)_* = \text{Ad}_g = \text{Ad}(g)$ , since  $(a_g)_*$  is defined by  $D_e a_g = \text{Ad}(g)$  by the definition of  $\text{Ad}$ . Take a vector space  $V$  and  $G = \text{GL}(V)$ . Then the above diagrams become:

$$\begin{array}{ccc} \text{GL}(V) & \xrightarrow{\text{Ad}} & \text{GL}(\text{End}(V)) \\ \exp \uparrow & & \uparrow \text{exp} \\ \text{End}(V) & \xrightarrow{\text{ad}} & \text{End}(\text{End}(V)) \end{array} \qquad \begin{array}{ccc} \text{GL}(V) & \xrightarrow{a_g} & \text{GL}(V) \\ \exp \uparrow & & \uparrow \text{exp} \\ \text{End}(V) & \xrightarrow{\text{Ad}_g} & \text{End}(V) \end{array}$$

We claim that  $\text{Ad}_g(M) = gMg^{-1}$ . This can be seen by

$$\text{Ad}_g(M) = D_e a_g(M) =$$

*(something is missing here)*

Consider  $r_{g^{-1}}: G \rightarrow G$ , the right multiplication by  $g^{-1}$ . Recall that  $TG \cong G \times \mathfrak{g}$  is a trivialization of  $TG$  given by

$$G \times \mathfrak{g} \rightarrow TG \quad (p, X) \mapsto (p, X_p)$$

**Lemma.**  $\text{Ad}_g \in \text{GL}(T_e G)$  is given by the composition of  $D_e r_{g^{-1}}$  with the identification of  $T_{g^{-1}}G$  with  $T_e G$  via the trivialization of the tangent bundle by left-invariant vector fields.

$$\begin{array}{ccccc} & & \text{Ad}_g & & \\ & \curvearrowright & & \curvearrowleft & \\ T_e G & \xrightarrow{D_e r_{g^{-1}}} & T_{g^{-1}}G & \xrightarrow{D_{g^{-1}}\ell_g} & T_e G \\ & & \swarrow X \mapsto X_{g^{-1}} & & \uparrow \text{ev} \\ & & \mathfrak{g} & & \end{array}$$

*Proof.* Any tangent vector  $v \in T_{g^{-1}}G$  can be identified with  $X_e \in T_e G$  for the unique  $X \in \mathfrak{g}$  such that  $X_{g^{-1}} = v$ . This identification is via  $D_{g^{-1}}\ell_g$ :

$$D_{g^{-1}}\ell_g(v) = D_{g^{-1}}\ell_g(X_{g^{-1}}) = D_{g^{-1}}\ell_g \circ D_e \ell_{g^{-1}}(X_e) = X_e$$

Using this,  $\text{Ad}_G$  we get the claim:

$$D_{g^{-1}}\ell_g \circ D_e r_{g^{-1}} = D_e a_g = \text{Ad}_g \quad \square$$

**Definition.** Let  $G$  be a Lie group and  $\mathfrak{g}$  its Lie algebra.

$$C(G) := \{g \in G \mid gh = hg \forall h \in G\}$$

is the *center* of  $G$  and

$$C(\mathfrak{g}) := \{X \in \mathfrak{g} \mid [X, Y] = 0 \forall Y \in \mathfrak{g}\}$$

is the *center* of  $\mathfrak{g}$ .

---

**Lemma.** *Let  $G$  be a connected Lie group. Then  $\ker \text{Ad} = C(G)$ .*

*Proof.* If  $g \in C(G)$ , then  $a_g = \text{id}_g$ , so  $\text{Ad}_g = D_e a_g = \text{id}_{T_e G}$ , so  $g \in \ker \text{Ad}$ . Conversely, suppose that  $g \in \ker \text{Ad}$ . Then

$$g \exp(tX) g^{-1} = a_g(\exp(tX)) = \exp(\text{Ad}_g(tX)) = \exp(tX)$$

for all  $X \in T_e G$ . So  $g$  commutes with all  $h \in G$  contained in a small enough neighbourhood in  $G$ , because  $\exp$  is a local diffeomorphism at  $0 \in T_e G$ . Every open neighbourhood of  $e$  in  $G$  generates the connected component of  $e$  in  $G$  by taking products. Therefore, if  $G$  is connected, then  $g \in C(G)$ .  $\square$

**Corollary.** *Let  $G$  be a connected Lie group. Then  $C(G)$  is a closed Lie subgroup whose Lie algebra is the center of  $\mathfrak{g}$ .*

*Proof.* The center  $C(G)$  is a closed subgroup of  $G$ . So it is a Lie subgroup. The Lie algebra of  $C(G)$  is  $C(\mathfrak{g})$ .  $\square$

**Corollary.** *If  $G$  is a connected Lie group, then  $G$  is abelian iff  $\mathfrak{g}$  has trivial Lie brackets.*

### 1.3 Homogeneous spaces

Let  $G$  be a Lie group,  $H \subset G$  a closed Lie subgroup. Consider

$$G/H := \{aH \mid a \in G\},$$

the set of left cosets of  $H$ . We denote by

$$\ell_g: G/H \rightarrow G/H \quad aH \mapsto (ga)H$$

the action induced by left multiplication. For any two  $a, b \in G$ , there exists  $g \in G$  such that  $\ell_g(aH) = bH$ .

**Theorem.**  *$G/H$  has a natural structure as a smooth manifold of dimension  $\dim G - \dim H$ , such that*

$$\pi: G \rightarrow G/H \quad a \mapsto aH$$

*is a smooth map that admits local smooth sections.  $\pi$  will actually be a submersion.*

*Proof.* This proof will be added later

**Corollary.** *The action*

$$\mu: G \times G/H \rightarrow G/H \quad (g, aH) \mapsto (ga)H$$

*defines a transitive smooth action of  $G$  on  $G/H$ . The isotropy group of  $H = eH$  is  $H$ .*

*Proof.*  $\mu$  is a smooth map by the construction of the smooth structure on  $G/H$ .  $\mu$  is a left action of  $G$ . The action is transitive, and

$$G_{eH} = \{g \in G \mid \mu(g, eH) = eH\} = H \quad \square$$

## 2 Principal bundles

**Definition.** A *principal  $G$ -bundle* over a smooth manifold  $M$  is a smooth manifold  $P$ , a smooth projection  $\pi: P \rightarrow M$  and a right  $G$ -action  $\cdot: P \times G \rightarrow P$  satisfying:

(a) There is a covering of  $M$  by open sets  $U_\alpha$ , together with diffeomorphisms

$$\pi^{-1}(U_\alpha) \rightarrow U_\alpha \times G \quad p \mapsto (\pi(p), \varphi_\alpha(p))$$

(b) For all  $g \in G$ ,  $p \in \pi^{-1}(U_\alpha)$ , we have  $\varphi_\alpha(r_g(p)) = r_g(\varphi_\alpha(p))$ , i.e.  $\varphi_\alpha(pg) = \varphi_\alpha(p)g$  ( $G$ -equivariance)

$G$  is called the *structure group* of  $P$ .

*Remark.*

(1)  $\pi$  is a submersion because  $\pi^{-1}(U_\alpha) \cong U_\alpha \times G$  and  $\pi_1$  is a submersion.

(2)  $\pi^{-1}(m) \cong G$  for all  $m \in M$ .

(3) The action of  $G$  on  $P$  maps  $\pi^{-1}(m)$  to itself for all  $m \in M$ .

(4) On each fiber  $\pi^{-1}(m)$ , the  $G$ -action is simply transitive, i.e. transitive and has trivial stabilizers. It follows also that  $G$  is free on the whole principal bundle  $P$ .

Let  $X \in \mathfrak{g}$ , then  $\exp(tX)$  is a one-parameter subgroup of  $G$ . By restricting the right action  $P \times G \rightarrow P$  we obtain a flow on  $P$ , which is generated by some vector field  $X^* \in \mathfrak{X}(P)$ .

**Definition.**  $X^*$  is the *fundamental vector field* generated by  $X$ .  $X^*$  is tangent to the fiber of  $\pi$ .

**Lemma.** For any  $g \in G$ ,  $X \in \mathfrak{g}$  and  $p \in P$ , we have

$$D_p r_g(X_p^*) = (\text{Ad}_{g^{-1}}(X))_{pg}^*$$

*Proof.* We have the commutative diagram

$$\begin{array}{ccc} G & \xrightarrow{\text{Ad}_{g^{-1}}} & G \\ \exp \uparrow & & \uparrow \exp \\ \mathfrak{g} & \xrightarrow{\text{Ad}_{g^{-1}}} & \mathfrak{g} \end{array}$$

so, defining  $Y := \text{Ad}_{g^{-1}}(X)$ :  $\exp(tY) = \exp(t \text{Ad}_{g^{-1}}(X)) = \exp(\text{Ad}_{g^{-1}}(tX)) = g^{-1} \exp(tX)g$   
Next, we can define two smooth maps

$$s, s': \mathbb{R} \rightarrow P \quad s(t) = p \exp(tX) \quad s'(t) = pg \exp(tY) = p \exp(tX)g$$

Then

$$D_p r_g(X_p^*) = (D_p r_g \circ D_0 s)(\partial) = D_0(r_g \circ s)(\partial) = D_0 s'(\partial) = Y_{pg}^* = (\text{Ad}_{g^{-1}}(X))_{pg}^* \quad \square$$

---

*Remark.*

(1) The map

$$\mathfrak{g} \rightarrow \mathfrak{X}(P) \quad X \mapsto X^*$$

is an injective homomorphism of Lie algebras, because  $G$  acts freely.

(2) For all  $p \in P$ ,  $\ker D_p\pi$  is spanned by the values of the fundamental vector fields at  $p$ : The map

$$\mathfrak{g} \rightarrow T_pP \quad X \mapsto X_p^*$$

is a linear map of  $\mathbb{R}$ -vector spaces. This map is injective because  $X^*$  has no zeroes, and its image is in  $\ker D_p\pi$ . So by dimensional reasons, it is  $\ker D_p\pi$ .

**Lemma.** *A principal  $G$ -bundle  $P$  admits a global smooth section  $s: M \rightarrow P$  if and only if it is isomorphic to the product bundle  $M \times G \xrightarrow{\pi_1} M$ .*

*Proof.* The product bundle has a smooth section

$$s: M \rightarrow M \times G \quad m \mapsto (m, e)$$

If  $f: P \rightarrow M \times G$  is an isomorphism, then  $f^{-1} \circ s$  is a smooth section of  $P$ .

Conversely, suppose  $P$  admits a smooth section  $s: M \rightarrow P$ . Then define

$$f: M \times G \rightarrow P \quad (m, g) \mapsto s(m)g$$

Clearly  $f$  is a smooth map. For any  $h \in G$ ,

$$(f \circ r_h)(m, g) = f(m, gh) = s(m)gh = r_h(s(m)g) = (r_h \circ f)(m, g)$$

so  $f$  maps  $\{m\} \times G$  to  $\pi^{-1}(m)$ . This map  $f: \{m\} \times G \rightarrow \pi^{-1}(m)$  is bijective. To show injectivity, assume  $s(m)g_1 = s(m)g_2$ , then  $g_1g_2^{-1} \in \text{Stab}(s(m)) = \{e\}$ , so  $g_1 = g_2$ . It is also surjective, since  $G$  acts transitively on  $\pi^{-1}(m)$ . So  $f^{-1}$ . One can check smoothness of  $f^{-1}$  in the local trivialization for  $p$ .  $\square$

**Example.**

(0) For any smooth manifold  $M$  and any Lie group  $G$ , the trivial bundle  $M \times G \xrightarrow{\pi_1} M$  is a principal bundle.

(1) Let  $H \subset G$  be a closed Lie subgroup. Then  $P = G$  is a principal  $H$ -bundle over  $G/H$  with the action

$$G \times H \rightarrow G \quad (g, h) \mapsto gh$$

The projection  $\pi: G \rightarrow G/H$  admits local smooth sections. Let  $U \subset G$  be open such that there is a smooth  $s: U \rightarrow G$  with  $\pi \circ s = \text{id}_U$  and define

$$f: U \times H \rightarrow G \quad (m, h) \mapsto s(m)h$$

This is a diffeomorphism between  $U \times H$  and  $\pi^{-1}(U)$  and for any  $h' \in H$ , we have

$$(r_{h'} \circ f)(m, h) = r_{h'}(s(m)h) = s(m)hh' = f(m, hh')$$

So all the requirements are satisfied such that  $G$  is a principal  $H$ -bundle.

(2) Let  $M$  be a smooth manifold and  $P$  the set of bases for tangent spaces of  $M$ .  $P$  has a  $C^\infty$  manifold structure such that  $\pi$  is smooth and  $P$  is the total space of a principal  $\mathrm{GL}_n(\mathbb{R})$ -bundle over  $M$ ,  $n = \dim(M)$ .

Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle and  $M = \bigcup_\alpha U_\alpha$  a covering by local trivialisations. Suppose  $U_\alpha \cap U_\beta \neq \emptyset$ . Then the composition

$$(U_\alpha \cap U_\beta) \times G \xrightarrow{(\pi \times \varphi_\beta)^{-1}} \pi^{-1}(U_\alpha \cap U_\beta) \xrightarrow{\pi \times \varphi_\alpha} (U_\alpha \cap U_\beta) \times G$$

forms a diffeomorphism from  $(U_\alpha \cap U_\beta) \times G$  to itself, which is the identity on the first factor. We denote this map by  $(m, g) \mapsto (m, \overline{\psi_{\alpha\beta}}(m, g))$ . By  $G$ -equivariance of the local trivialisations,  $\overline{\psi_{\alpha\beta}}(m, gh) = \overline{\psi_{\alpha\beta}}(m, g)h$  holds for any  $m \in M$ ,  $g, h \in G$ , so we have  $\overline{\psi_{\alpha\beta}}(m, g) = \overline{\psi_{\alpha\beta}}(m, e)g =: \psi_{\alpha\beta}(m)g$ . These *transition maps*  $\psi_{\alpha\beta}$  have the following properties:

- (1) If  $U_\alpha \cap U_\beta \neq \emptyset$ , then  $\psi_{\alpha\beta}: U_\alpha \cap U_\beta \rightarrow G$  is a smooth map.
- (2)  $\psi_{\alpha\alpha}(m) = e$  for all  $m \in U_\alpha$ .
- (3)  $\psi_{\alpha\beta}(m) = \psi_{\beta\alpha}(m)^{-1}$  for all  $m \in U_\alpha \cap U_\beta$ .
- (4) For all  $m \in U_\alpha \cap U_\beta \cap U_\gamma$  we have the following:

$$\psi_{\alpha\beta}(m)\psi_{\beta\gamma}(m) = \psi_{\alpha\gamma}(m)$$

The properties (2) – (4) are summarized by saying that the maps  $\psi_{\alpha\beta}$  satisfy the *cocycle conditions*. Property (3) follows directly from (2) and (4).

Now suppose we are given a smooth manifold  $M$ , an open covering  $M = \bigcup_\alpha U_\alpha$  and smooth maps  $\psi_{\alpha\beta}: U_\alpha \cap U_\beta \rightarrow G$  satisfying the cocycle conditions. Then we can construct a principal  $G$ -bundle  $P \xrightarrow{\pi} M$  trivial over each  $U_\alpha$  such that  $\psi_{\alpha\beta}$  are the transition maps of  $P$ :

$$P = \coprod_\alpha (U_\alpha \times G) / \sim$$

The equivalence relation  $\sim$  is given as follows:

$$U_\alpha \times G \ni (m, g) \sim (m, \psi_{\alpha\beta}(m)g) \in U_\beta \times G \iff m \in U_\alpha \cap U_\beta$$

This really is an equivalence relation because  $\psi_{\alpha\beta}$  satisfy the cocycle conditions.  $P$  is a smooth manifold that each  $U_\alpha \times G$  projects to an open submanifold of  $P$ .

Now define a projection  $\pi: P \rightarrow M$  by  $\pi([(m, g)]) = m$ . In the chart given by  $U_\alpha \times G$  this is  $\pi_1$  and so it is smooth. Also define an action

$$\mu: P \times G \rightarrow P \quad ([(m, g)], h) \mapsto [(m, gh)]$$

It is well-defined and smooth. This definition of  $P, \pi, \mu$  satisfies the properties (a) and (b) in the definition of a principal bundle. So we do indeed have a principal  $G$ -bundle defined from the  $\psi_{\alpha\beta}$ .



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**Example.** Let  $M$  be a smooth manifold,  $(U_\alpha, f_\alpha)$  an atlas for  $M$  and  $n = \dim M$ . If  $U_\alpha \cap U_\beta \neq \emptyset$ , then we have the transition map

$$f_{\alpha\beta} := f_\alpha \circ f_\beta^{-1}: f_\beta(U_\alpha \cap U_\beta) \rightarrow f_\alpha(U_\alpha \cap U_\beta)$$

between open sets in  $\mathbb{R}^n$ . This  $f_{\alpha\beta}$  is a diffeomorphism. Let  $\psi_{\alpha\beta}: U_\alpha \cap U_\beta \rightarrow \mathrm{GL}_n(\mathbb{R})$  be defined as follows:

$$\psi_{\alpha\beta}(x) = D_{f_\beta(x)} f_{\alpha\beta} \in \mathrm{GL}_n(\mathbb{R})$$

The  $\psi_{\alpha\beta}$  so defined are smooth and satisfy

$$\psi_{\alpha\alpha}(x) = D_{f_\alpha(x)} f_{\alpha\alpha} = D_{f_\alpha(x)} \mathrm{id}_{f_\alpha(U_\alpha)} = e \in \mathrm{GL}_n(\mathbb{R})$$

and, for any  $x \in U_\alpha \cap U_\beta \cap U_\gamma$ :

$$\psi_{\alpha\gamma}(x) = D_{f_\gamma(x)} f_{\alpha\gamma} = D_{f_\gamma(x)} (f_{\alpha\beta} \circ f_{\beta\gamma}) = D_{f_\beta(x)} f_{\alpha\beta} \circ D_{f_\gamma(x)} f_{\beta\gamma} = \psi_{\alpha\beta}(x) \psi_{\beta\gamma}(x)$$

We have checked that  $\psi_{\alpha\beta}$  satisfy (2) and (4) of the cocycle conditions and (3) follows. Therefore  $\psi_{\alpha\beta}$  define a principal  $\mathrm{GL}_n(\mathbb{R})$ -bundle over  $\mathbb{R}^n$ . This is the *bundle of bases/frames for tangent spaces* to  $M$ .

**Definition.** Let  $P \xrightarrow{\pi} M$  and  $P' \xrightarrow{\pi'} M'$  be principal  $G$ - resp.  $G'$ -bundles. A homomorphism  $f$  from  $P$  to  $P'$  is a pair of smooth maps

$$f': P \rightarrow P' \quad f'': G \rightarrow G'$$

such that  $f''$  is a homomorphism of Lie groups and

$$f'(pg) = f'(p)f''(g) \quad \forall p \in P, g \in G$$

**Notation.** For a homomorphism  $f$  of principal bundles  $P$  and  $P'$ , we usually denote both  $f'$  and  $f''$  by  $f$ . We write

$$f: P \rightarrow P' \quad f: G \rightarrow G'$$

and the equivariance is written

$$f(pg) = f(p)f(g)$$

Note that a homomorphism  $P \rightarrow P'$  sends the fibers of  $P$  to the fibers of  $P'$ . There is a well-defined smooth  $\bar{f}: M \rightarrow M'$  such that the following diagram commutes:

$$\begin{array}{ccc} P & \xrightarrow{f} & P' \\ \pi \downarrow & & \downarrow \pi' \\ M & \xrightarrow{\bar{f}} & M' \end{array}$$

---

**Definition.** Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle and  $H \subset G$  a Lie subgroup. A *reduction of the structure group of  $P$  from  $G$  to  $H$*  is an injective homomorphism  $f$  of a principal  $H$ -bundle  $Q \rightarrow M$  into  $P$  such that  $\bar{f} = \text{id}_M$ .

**Example.** Let  $P = M \times G$  be the product bundle. Let  $H = \{e\} \subset G$ ,  $Q = M \times H$ . Define

$$f: H \rightarrow G, e \mapsto e \quad f: Q \rightarrow P, (m, e) \mapsto (m, e)$$

This  $f$  is a homomorphism and defines a reduction of the structure group of  $P$  to  $\{e\}$ .

**Proposition.** Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle and  $H \subset G$  a Lie subgroup. The structure group of  $P$  can be reduced to  $H$  if and only if there is a system of local trivialisations for  $P$  such that the corresponding transition maps  $\psi_{\alpha\beta}$  take values in  $H$ .

*Proof.* Assume there is a reduction  $f: Q \rightarrow P$ , where  $Q$  is a principal  $H$ -bundle. We may assume  $f: H \rightarrow G$  is the inclusion. The corresponding transition maps take values in  $H$ . Conversely, suppose  $P$  admits local trivialisations  $U_\alpha \times G$  such that all transition maps  $\psi_{\alpha\beta}$  take values in  $H$ . Then we can construct a principal  $H$ -bundle  $Q \xrightarrow{\pi} M$  from the  $\psi_{\alpha\beta}$ . In each trivialisation  $U_\alpha \times G$  for  $P$  we have  $U_\alpha \times H \subset U_\alpha \times G$ . These inclusions induce an injective homomorphism  $f: Q \rightarrow P$  giving a reduction of the structure group of  $P$  from  $G$  to  $H$ .  $\square$

## 2.1 Associated bundles

**Definition.** Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle,  $F$  a smooth manifold and  $\mu: G \times F \rightarrow F$  a left action of  $G$  on  $F$ . The *associated bundle*  $E \xrightarrow{\pi_E} M$  is defined as follows:

$$E := P \times_G F := (P \times F) / \sim$$

where

$$(p, f) \sim (pg, g^{-1}f) \quad \forall p \in P, f \in F, g \in G$$

and

$$\pi_E([(p, f)]) := \pi(p)$$

Let  $U \subset M$  be an open set over which  $P$  is trivial and let

$$\pi^{-1}(U) \rightarrow U \times G \quad p \mapsto (\pi(p), \varphi(p))$$

be a local trivialisation. Performing the construction of  $E$  with  $\pi^{-1}(U)$  in place of  $P$ , we obtain

$$\pi_E^{-1}(U) = (\pi^{-1}(U) \times F) / \sim \cong (U \times G \times F) / \simeq$$

with  $\simeq$  defined as follows:

$$(m, h, f) \simeq (m, hg, g^{-1}f)$$

We claim that

$$(U \times G \times F) / \simeq \cong U \times F$$

---

To prove this, define  $\psi_1: (U \times G \times F)/\simeq \rightarrow U \times F$  by  $\psi_1([(m, h, f)]) = (m, hf)$  and  $\psi_2: U \times F \rightarrow (U \times G \times F)/\simeq$  by  $\psi_2(m, f) = [(m, e, f)]$ . Then  $\psi_1$  is well-defined and  $\psi_1, \psi_2$  are mutually inverse:

$$\psi_2 \circ \psi_1([(m, h, f)]) = \psi_2(m, hf) = [(m, e, hf)] = [(m, h, f)]$$

$$\psi_1 \circ \psi_2(m, f) = \psi_1([(m, e, f)]) = (m, ef) = (m, f)$$

The associated bundle  $E$  has a unique differentiable structure in which the open subsets  $\pi_E^{-1}(U)$  are open smooth submanifolds diffeomorphic to  $U \times F$ . This shows that  $E$  is a locally trivial smooth fiber bundle with fiber  $F$  and structure group  $G$ .

**Example.**

- (1) If  $\mu: G \times F \rightarrow F$  is the terminal action  $(g, f) \mapsto f$  then  $E = P \times_G F$  is diffeomorphic to  $M \times F$  such that  $\pi_E$  corresponds to  $\pi_1$ .
- (2) Let  $\rho: G \rightarrow \text{GL}_n(\mathbb{R})$  be a homomorphism of Lie groups. Then  $G$  acts on  $\mathbb{R}^n$  via  $\rho$ :

$$\mu: G \times \mathbb{R}^n \rightarrow \mathbb{R}^n \quad (g, v) \mapsto \rho(g)v$$

In this case,  $E = P \times_G \mathbb{R}^n =: P \times_\rho \mathbb{R}^n$  is a vector bundle over  $M$ .

- (2') Suppose  $V \rightarrow M$  is a vector bundle of rank  $k$ . The basis for fibers of  $V$  form a principal  $\text{GL}_k(\mathbb{R})$ -bundle  $P \xrightarrow{\pi} M$ . Take  $\text{id}: \text{GL}_k(\mathbb{R}) \rightarrow \text{GL}_k(\mathbb{R})$ . Then  $E = P \times_\rho \mathbb{R}^n$  is isomorphic to  $V$ .
- (3) Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle and  $H \subset G$  a closed subgroup. Using the action

$$\mu: G \times G/H \rightarrow G/H \quad (g, aH) \mapsto (ga)H$$

we can form the associated bundle  $E$  with fiber  $G/H$ .

**Lemma.** *In example (3), the associated bundle  $E$  with fiber  $G/H$  is diffeomorphic to the orbit space  $P/H$ , where  $H$  acts on  $P$  by restricting the  $G$ -action.*

*Proof.* We define to mutually inverse smooth maps  $\psi_1$  and  $\psi_2$  between  $E$  and  $P/H$ .

$$\psi_2: E \rightarrow P/H, [(p, aH)] \mapsto H(pa) \quad \psi_1: P/H \rightarrow E, H(p) \mapsto [(p, H)]$$

These are indeed well-defined and smooth and  $\psi_1 \circ \psi_2 = \psi_2 \circ \psi_1 = \text{id}$ . □

**Proposition.** *Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle and  $H \subset G$  a closed Lie subgroup. The structure group of  $P$  can be reduced to  $H$  if and only if the associated bundle  $E$  with fiber  $G/H$  has a section.*

*Proof.* Suppose the structure group of  $P$  can be reduced to  $H$ , so that there is a principal  $H$ -bundle  $Q \rightarrow M$  and an injective homomorphism  $f: Q \rightarrow P$ . We claim that the composition  $Q \xrightarrow{f} P \rightarrow P/H$  is constant on every fiber of  $Q$ . To see this, let  $\alpha, \beta \in Q$

be in the same fiber of  $Q$ . Then there exists  $h \in H$  such that  $\alpha h = \beta$ , so  $f(\alpha)h = f(\beta)$  and thus  $[f(\alpha)] = [f(\beta)]$ , i.e. the images in  $P/H$  agree.

$$\begin{array}{ccccc} Q & \xrightarrow{f} & P & \longrightarrow & P/H = E \\ & & \downarrow & \nearrow & \\ & & M & & \end{array}$$

By the claim, this map factors through the projection  $Q \rightarrow M$ , and so gives a section  $s: M \rightarrow E$ . Conversely, suppose  $E \xrightarrow{\pi_E} M$  admits a section  $s: M \rightarrow E$ . Define  $Q$  as the preimage of  $s(M)$  under the map  $P \rightarrow P/H = E$ . The restriction to  $H$  of the  $G$ -action on  $P$  preserves  $Q \subset P$  and is simply transitive on the fibers of  $Q \rightarrow M$ .  $Q$  is a principal  $H$ -bundle and the inclusion  $Q \subset P$  is a reduction of the structure group of  $P$  to  $H$ .  $\square$

**Definition.** Suppose  $P \xrightarrow{\pi} M$  is a principal  $G$ -bundle and  $f: N \rightarrow M$  is a smooth map. Then define

$$f^*P := \{(n, p) \in N \times P \mid f(n) = \pi(p)\}$$

$$\begin{array}{ccc} f^*P & \xrightarrow{\pi_2} & P \\ \pi_1 \downarrow & & \downarrow \pi \\ N & \xrightarrow{f} & M \end{array}$$

$f^*P$  is a principal  $G$ -bundle. It is called the *pullback bundle* obtained by pulling back  $P \xrightarrow{\pi} M$  via  $f$ .

## 2.2 Connections

Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle. Then  $\pi$  is a submersion and  $D_p\pi: T_pP \rightarrow T_{\pi(p)}M$  has as kernel the tangent space at  $p$  to the fiber  $\pi^{-1}(\pi(p))$ . Moreover,  $\ker(D_p\pi)$  is spanned by the fundamental vector fields  $X^*$  generated by the  $G$ -action on  $P$ . We call  $\ker(D_p\pi) =: V_p$  the *vertical tangent space* at  $p$ .

**Definition.** A *connection* on  $P$  is a choice of a complement  $H_p$  for  $V_p$  in  $T_pP$  for all  $p \in P$  such that

- (1)  $H_p$  depends smoothly on  $p$ .
- (2)  $D_p r_g(H_p) = H_{pg}$  for all  $p \in P, g \in G$ .

*Remark.* Property (1) is equivalent to saying that  $\bigcup_{p \in P} H_p$  is a smooth subbundle  $H$  of  $TP$ . If  $V = \bigcup_{p \in P} V_p$  is the vertical subbundle in  $TP$  with  $V = \ker(D\pi)$ , then  $H$  has to be a complement to  $V$  in  $TP$ , so that  $TP = V \oplus H$ . A connection  $H$  on  $P$  is a  $G$ -invariant smooth complement to  $V$ .

If  $H$  is a connection on  $P$ , then

$$D_p\pi: H_p \rightarrow T_{\pi(p)}M$$

is an isomorphism for all  $p \in P$ . Under this isomorphism, vector fields on  $M$  can be lifted to horizontal vector fields on  $P$ .

If  $\alpha \in \Omega^1(P)$  is a 1-form on  $P$ , then at every point  $p \in P$  with  $\alpha_p \neq 0$ ,  $\ker \alpha_p \subset T_p P$  is a hyperplane. If  $\alpha$  is a 1-form with values in  $\mathbb{R}^k$ , then at every point  $p \in P$  it defines a linear map

$$\alpha_p: T_p P \rightarrow \mathbb{R}^k$$

If  $\alpha_p$  is surjective onto  $\mathbb{R}^k$ , then  $\ker(\alpha_p) \subset T_p P$  is a subspace of codimension  $k$ .

**Definition.** Given a connection  $H$  on  $P$ , we define a 1-form  $\omega$  on  $P$  with values in  $\mathfrak{g}$  as follows:

$$\omega_p(X) = \begin{cases} 0 & \text{if } X \in H_p \\ A & \text{if } X = A_p^* \text{ for any } A \in \mathfrak{g} \end{cases}$$

where  $A^*$  is the fundamental vector field on  $P$  generated by the right action of  $\exp(tA)$ . Then  $\omega$  is the *connection 1-form* corresponding to  $H$ .

At every point  $p \in P$ , we have  $T_p P = V_p \oplus H_p$ .  $H_p$  is in the kernel of  $\omega_p$  and  $V_p$  contains only elements of the form  $A_p^*$  and for those  $\omega(A_p^*) = A$ . So  $\omega$  is well-defined and  $\ker \omega_p = H_p$ , because  $\omega_p: T_p P \rightarrow \mathfrak{g}$  is surjective.  $\omega$  is also smooth, since  $H$  and  $A^*$  are smooth.

**Lemma.** For  $g \in G$ , we have

$$r_g^* \omega = \text{Ad}_{g^{-1}} \omega$$

where  $\text{Ad}_{g^{-1}} \omega$  is the composition of  $\omega: TP \rightarrow \mathfrak{g}$  and  $\text{Ad}_{g^{-1}}: \mathfrak{g} \rightarrow \mathfrak{g}$ .

*Proof.* Let  $X \in T_p P$ . Since  $(r_g^* \omega)_p(X) = \omega(D_p r_g(X))$ , the claim of the lemma is equivalent to

$$\omega(Dr_g(X)) = \text{Ad}_{g^{-1}}(\omega(X))$$

Both sides are linear in  $X$ , therefore it is enough to check the claim for  $X \in V$  and  $X \in H$ .

If  $X \in H_p$ , then  $\omega(Dr_g(X)) \in \omega(H_{pg}) = \{0\}$  by the  $G$ -invariance of  $H$ . Also  $\text{Ad}_{g^{-1}}(\omega(X)) = \text{Ad}_{g^{-1}}(0) = 0$ .

Now let  $X \in V_p$ . Since the fundamental vector fields span  $V$ , we can choose  $Y \in \mathfrak{g}$  with  $Y_p^* = X$ . Then

$$\omega(Dr_g(X)) = \omega(Dr_g(Y_p^*)) = \omega((\text{Ad}_{g^{-1}}(Y))_{pg}^*) = (\text{Ad}_{g^{-1}}(Y))$$

and

$$\text{Ad}_{g^{-1}}(\omega(X)) = \text{Ad}_{g^{-1}}(\omega(Y_p^*)) = \text{Ad}_{g^{-1}}(Y) \quad \square$$

**Proposition.** Suppose  $\omega$  is a  $\mathfrak{g}$ -valued 1-form on  $P$  with the property that  $r_g^* \omega = \text{Ad}_{g^{-1}} \omega$ . Assume also that for fundamental vector fields  $A^*$ , we have  $\omega(A^*) = A$ . Then  $H := \ker \omega$  is a connection on  $P$ .

*Proof.* The two requirements on  $\omega$  are consistent. If  $A^*$  is the fundamental vector field generated by  $A$ , then  $Dr_g(A_p^*) = (\text{Ad}_{g^{-1}}(A))_{pg}^*$ . This implies

$$(\text{Ad}_{g^{-1}}(A))_{pg} = \omega((\text{Ad}_{g^{-1}}(A))_{pg}^*) = \omega(Dr_g(A_p^*)) = r_g^* \omega(A_p^*)$$

For all  $p \in P$ , the map

$$\omega_p: T_p P \rightarrow \mathfrak{g}$$

is surjective, so  $\ker \omega_p = H_p$  is a subspace of  $T_p P$  whose codimension is  $\dim \mathfrak{g}$ . The requirement  $\omega(A^*) = A$  means that  $\omega_p|_{V_p}: V_p \rightarrow \mathfrak{g}$  is an isomorphism for all  $p \in P$ . So  $H_p$  is a complement for  $V_p$  in  $T_p P$ .

Suppose  $X \in H_p$ . To prove  $G$ -invariance of  $H$ , we have to show  $D_p r_g(X) \in H_{pg}$ . Now  $X \in H_p$  means that  $\omega(X) = 0$ . We have to prove  $\omega(Dr_g(X)) = 0$ . Indeed,

$$\omega(Dr_g(X)) = r_g^* \omega(X) = \text{Ad}_{g^{-1}}(\omega(X)) = \text{Ad}_{g^{-1}}(0) = 0 \quad \square$$

**Proposition.** *Every principal  $G$ -bundle  $P \xrightarrow{\pi} M$  admits a connection.*

*Proof.* Let  $\{U_i\}_{i \in I}$  be an open cover of  $M$  with the property that  $P$  restricted to each  $U_i$  is trivial:

$$\pi^{-1}(U_i) \xrightarrow{\sim} U_i \times G$$

On the product bundle  $U_i \times G$ , there is a connection with

$$H_{(m,g)} = T_m U_i \times \{0\} \subset T_m U_i \oplus T_g G = T_{(m,g)}(U_i \times G)$$

Let  $\omega_i$  be the connection 1-form on  $\pi^{-1}(U_i)$ , whose kernel corresponds to  $H$  under the trivialization  $\pi^{-1}(U_i) \rightarrow U_i \times G$ . Let  $\{\rho_j\}_{j \in J}$  be a smooth partition of unity on  $M$  subordinate to the covering by the  $U_i$ , i.e.  $\rho_j: M \rightarrow \mathbb{R}$  are smooth non-negative functions such that  $\text{supp } \rho_j$  are locally finite in  $M$  and  $\sum_j \rho_j = 1$  and for all  $j \in J$  there exists an  $i \in I$  such that  $\text{supp } \rho_j \subset U_i$ . Then define

$$\omega := \sum_{j \in J} \pi^* \rho_j \cdot \omega_j = \sum_{j \in J} (\rho_j \circ \pi) \cdot \omega_j$$

where for all  $j \in J$ ,  $\omega_j := \omega_i$  for some  $i \in I$  such that  $\text{supp } \rho_j \subset U_i$ , and the summands, which are supported only inside  $\pi^{-1}(U_i)$  are being extended by 0 to all of  $P$ .

We claim that  $\omega$  is a connection 1-form on  $P$ . To see this, we need to check that  $r_g^* \omega = \text{Ad}_{g^{-1}} \omega$  for all  $g \in G$  and  $\omega(A_p^*) = A_p$  for all  $A \in \mathfrak{g}$ ,  $p \in P$ . Since  $\pi^* \rho_j$  is constant under right  $G$ -action, the first equality follows by

$$\begin{aligned} r_g^* \omega &= r_g^* \left( \sum_{j \in J} \pi^* \rho_j \cdot \omega_j \right) = \sum_{j \in J} \pi^* \rho_j \cdot r_g^* \omega_j = \sum_{j \in J} \pi^* \rho_j \cdot \text{Ad}_{g^{-1}} \omega_j = \\ &= \text{Ad}_{g^{-1}} \left( \sum_{j \in J} \pi^* \rho_j \cdot \omega_j \right) = \text{Ad}_{g^{-1}} \omega \end{aligned}$$

and the second by

$$\omega(A_p^*) = \left( \sum_{j \in J} \pi^* \rho_j \cdot \omega_j \right) (A_p^*) = \sum_{j \in J} \pi^* \rho_j(p) \cdot \omega_j(A_p^*) = \sum_{j \in J} \pi^* \rho_j(p) \cdot A_p = A_p \quad \square$$

---

**Proposition.** *The set of connections on a principal  $G$ -bundle  $P \xrightarrow{\pi} M$  is naturally an affine space whose vector space of translations is the space of 1-forms on  $M$  with values in the vector bundle  $P \times_{\text{Ad } \mathfrak{g}} \rightarrow M$ .*

*More precisely, for any difference of connection 1-forms  $\tilde{\omega} = \omega_1 - \omega_2$  on  $P$ , there is a unique  $\omega \in \Gamma((P \times_{\text{Ad } \mathfrak{g}}) \otimes T^*M)$  such that*

$$\pi^*\omega(Y) = [(p, \tilde{\omega}(Y))] \quad \forall Y \in T_p P$$

*Proof.* By the previous proposition, the set of connections  $P$  is non-empty, so we can choose a reference connection 1-form  $\omega_0$ . For any connection 1-form  $\omega_1$ , let  $\tilde{\omega} := \omega_1 - \omega_0$ . For all  $p \in P$ ,  $V_p$  is spanned by the values of the fundamental vector fields  $A_p^*$  with  $A \in \mathfrak{g}$ , but

$$\tilde{\omega}(A_p^*) = \omega_1(A_p^*) - \omega_0(A_p^*) = A - A = 0$$

so  $\tilde{\omega}$  vanishes on  $V$ . Let  $E := P \times_{\text{Ad } \mathfrak{g}}$ , then  $\Omega^1(M, E) = \Gamma(T^*M \otimes E)$  is the vector space of 1-forms on  $M$  with values in the vector bundle  $E$ . We want to define  $\omega \in \Omega^1(M, E)$  by

$$\omega(X) = [(p, \tilde{\omega}(Y))]$$

for all  $X \in T_m M$ , where  $Y \in T_p P$  is a lift of  $X$  at some  $p \in \pi^{-1}(m)$ , i.e.  $D\pi(Y) = X$ . We can always choose such a lift since  $D\pi$  is surjective. For  $\omega$  to be well-defined, we have to check that

$$[(p, \tilde{\omega}(Y))] = [(q, \tilde{\omega}(Z))]$$

for any lift  $Z \in T_q P$  at  $q \in \pi^{-1}(m)$ . To see this, first let  $q = p$  and  $Z \in T_p P$  be a lift of  $X$  at  $p$ . Then

$$D\pi(Z - Y) = D\pi(Z) - D\pi(Y) = X - X = 0$$

so  $Z - Y \in V$  and therefore

$$\tilde{\omega}(Z) = \tilde{\omega}(Y) - \tilde{\omega}(Z - Y) = \tilde{\omega}(Y)$$

Now let  $q \in \pi^{-1}(m)$  be arbitrary and  $Z \in T_q P$  a lift of  $X$  at  $q$ . There is a unique  $g \in G$  such that  $q = pg$ . Since

$$D\pi(Dr_g(Y)) = D(\pi \circ r_g)(Y) = D\pi(Y) = X,$$

$Dr_g(Y) \in T_q P$  is a lift of  $X$  at  $q$ , so  $\tilde{\omega}(Z) = \tilde{\omega}(Dr_g(Y))$ . But then we have

$$[(q, \tilde{\omega}(Z))] = [(q, \tilde{\omega}(Dr_g(Y)))] = [(q, r_g^* \tilde{\omega}(Y))] = [(pg, \text{Ad}_{g^{-1}} \tilde{\omega}(Y))] = [(p, \tilde{\omega}(Y))].$$

This shows that although  $\omega$  is not well-defined as an ordinary  $\mathfrak{g}$ -valued 1-form on  $M$ , it is well-defined as a 1-form on  $M$  with values in  $E$ .

Conversely, let  $\omega \in \Omega^1(M, E)$ . We have to check that with  $\tilde{\omega}$  defined by

$$\pi^*\omega(Y) = [(p, \tilde{\omega}(Y))] \quad \forall Y \in T_p P$$

the  $\mathfrak{g}$ -valued 1-form  $\omega_1 = \omega_0 + \tilde{\omega}$  is a connection 1-form. First, we show  $\omega_1(X_p^*) = X$  for all  $X \in \mathfrak{g}$  and  $p \in P$ : Since

$$[(p, \tilde{\omega}(X_p^*))] = \pi^*\omega(X_p^*) = \omega(D\pi(X_p^*)) = \omega_{\pi(p)}(0) = \omega_{\pi(p)}(D_p \pi(0)) = (\pi^*\omega)_p(0) = [(p, 0)]$$

and the first element uniquely determines the representative of the equivalence class, we have

$$\omega_1(X_p^*) = \omega_0(X_p^*) + \tilde{\omega}(X_p^*) = X + 0 = X.$$

Left to show is  $r_g^* \omega_1 = \text{Ad}_{g^{-1}} \omega_1$  for  $g \in G$ , but for  $Y \in T_p P$ ,

$$\begin{aligned} [(pg, r_g^* \tilde{\omega}(Y))] &= [(pg, \tilde{\omega}(Dr_g(Y)))] = \pi^* \omega(Dr_g(Y)) = \omega(D\pi(Dr_g(Y))) = \\ &= \omega(D(\pi \circ r_g)(Y)) = \omega(D\pi(Y)) = \pi^* \omega(Y) = [(p, \tilde{\omega}(Y))] = \\ &= [(pg, \text{Ad}_{g^{-1}} \tilde{\omega}(Y))], \end{aligned}$$

so  $r_g^* \tilde{\omega} = \text{Ad}_{g^{-1}} \tilde{\omega}$  and thus by linearity  $r_g^* \omega_1 = \text{Ad}_{g^{-1}} \omega_1$ .  $\square$

Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle,  $\omega$  a connection 1-form on  $P$ ,  $U_i, U_j \subset M$  open sets over which  $P$  is trivial. The trivializations

$$\psi_i: \pi^{-1}(U_i) \rightarrow U_i \times G$$

correspond to sections

$$s_i: U_i \rightarrow \pi^{-1}(U_i) \quad m \mapsto \psi_i^{-1}(m, e)$$

$\omega_i := s_i^* \omega$  is a  $\mathfrak{g}$ -valued 1-form on  $U_i \subset M$ . Suppose  $U_i \cap U_j \neq \emptyset$ . Then on  $U_i \cap U_j$  both  $\omega_i$  and  $\omega_j$  are defined. We have the smooth transition maps  $\psi_{ij}: U_i \cap U_j \rightarrow G$  defined by

$$\psi_i \circ \psi_j^{-1}: (U_i \cap U_j) \times G \rightarrow (U_i \cap U_j) \times G \quad (m, g) \mapsto (m, \psi_{ij}(m)g)$$

and want to use them to find a formula for transition between  $\omega_j$  and  $\omega_i$ .

On  $G$  we have a canonical 1-form  $\theta$  with values in  $\mathfrak{g}$  defined by

$$\theta(A_g) = A \quad \forall A \in \mathfrak{g}, g \in G$$

This is well-defined since it is equivalent to  $\theta(X) = D\ell_{g^{-1}}(X)$  for  $X \in T_g G$ .

**Lemma.** *We have the following translation from  $\omega_i$  to  $\omega_j$ :*

$$\omega_j(X) = \text{Ad}_{\psi_{ij}(m)}^{-1} \omega_i(X) + \psi_{ij}^* \theta(X) \quad \forall X \in T_m M$$

*Proof.* Differentiating the function

$$s_j(m) = \psi_j^{-1}(m, e) = \psi_i^{-1} \circ \psi_i \circ \psi_j^{-1}(m, e) = \psi_i^{-1}(m, \psi_{ij}(m)) = s_i(m) \cdot \psi_{ij}(m)$$

gives for  $X \in T_m M$

$$\begin{aligned} Ds_j(X) &= D(\mu \circ (s_i \times \psi_{ij}) \circ \Delta)(X) = (D\mu \circ D(s_i \times \psi_{ij}) \circ D\Delta)(X) = \\ &= D\mu(Ds_i(X), D\psi_{ij}(X)) = Dr_{\psi_{ij}(m)}(Ds_i(X)) + D\ell_{s_i(m)}(D\psi_{ij}(X)) \end{aligned}$$



where we can transform the last summand using  $A_{pg}^* = D\ell_p(A_g)$  like

$$\begin{aligned}
D\ell_{s_i(m)}(D\psi_{ij}(X)) &= (D\ell_{s_i(m)} \circ D\ell_{\psi_{ij}(m)} \circ D\ell_{\psi_{ij}(m)^{-1}} \circ D\psi_{ij})(X) = \\
&= D\ell_{s_i(m)}((D\ell_{\psi_{ij}(m)^{-1}}(D\psi_{ij}(X)))_{\psi_{ij}(m)}) = \\
&= (D\ell_{\psi_{ij}(m)^{-1}}(D\psi_{ij}(X)))_{s_i(m)\psi_{ij}(m)}^* = \\
&= (\theta(D\psi_{ij}(X)))_{s_j(m)}^* = \\
&= (\psi_{ij}^*\theta(X))_{s_j(m)}^*
\end{aligned}$$

Putting the above identities together we get the desired result

$$\begin{aligned}
\omega_j(X) = \omega(Ds_j(X)) &= \omega(Dr_{\psi_{ij}(m)}(Ds_i(X)) + \omega((\psi_{ij}^*\theta(X))_{s_j(m)}^*)) = \\
&= r_{\psi_{ij}(m)}^*\omega(Ds_i(X)) + \psi_{ij}^*\theta(X) = \text{Ad}_{\psi_{ij}(m)}^{-1}\omega_i(X) + \psi_{ij}^*\theta(X) \quad \square
\end{aligned}$$

### 2.3 Parallel transport

Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle,  $H = \ker \omega$  a connection on  $P$ . For every  $p \in P$ ,  $D_p\pi|_H$  is an isomorphism  $H_p \rightarrow T_{\pi(p)}M$ . Every  $X \in T_{\pi(p)}M$  has a unique preimage  $X^* \in H_p$  under this isomorphism. Every vector field  $X \in \mathfrak{X}(M)$  gives rise to a unique vector field  $X^*$  on  $P$  such that

$$X_p^* = (D_p\pi)^{-1}(X_{\pi(p)})$$

This construction has the following simple properties:  $(fX)^* = \pi^*f \cdot X^*$  for any  $f \in C^\infty(M, \mathbb{R})$  and  $(X + Y)^* = X^* + Y^*$ . But  $[X, Y]^* \neq [X^*, Y^*]$ : Although  $[X, Y]_{\pi(p)} = D\pi([X^*, Y^*]_p)$  holds,  $[X^*, Y^*]$  is not necessarily horizontal. Denoting the projections from to the horizontal and vertical subbundles of  $TP$  by

$$\mathcal{V}: TP \rightarrow V \quad \mathcal{H}: TP \rightarrow H$$

the above equality shows that

$$\mathcal{H}([X^*, Y^*]) = [X, Y]^*$$

*Remark.* In general  $\mathcal{V}([X^*, Y^*]) \neq 0$ , and is related to the curvature of  $H$ .

**Definition.** A smooth curve  $c: [0, 1] \rightarrow P$  is *horizontal* (wrt  $H$ ) if  $\dot{c}(t) \in H_{c(t)}$  for all  $t \in [0, 1]$ .

**Proposition.** Let  $c: [0, 1] \rightarrow M$  be a smooth curve and  $p \in \pi^{-1}(c(0))$ . Then there is a unique horizontal curve  $\bar{c}: [0, 1] \rightarrow P$  with  $\bar{c}(0) = p$  and  $\pi \circ \bar{c} = c$ .  $\bar{c}$  is called a *horizontal lift* of  $c$ .

*Proof.* Given  $c$  and  $p \in \pi^{-1}(c(0))$ , there exists some smooth  $\bar{c}: [0, 1] \rightarrow P$  with  $\bar{c}(0) = p$  and  $\pi \circ \bar{c} = c$  (by local triviality). Any other lift of  $c$  to  $P$  with starting point  $p$  is of the form  $\bar{c} \cdot g$  where  $g: [0, 1] \rightarrow G$  is a smooth map with  $g(0) = e$ . We need to find a  $g$  such

that  $\bar{c} \cdot g$  is horizontal. This is the case iff  $\frac{d}{dt}(\bar{c}(t) \cdot g(t)) \in H$ , i.e.  $\omega(\frac{d}{dt}(\bar{c} \cdot g)) = 0$  for all  $t \in [0, 1]$ . As in the lemma above, we get

$$\frac{d}{dt}(\bar{c} \cdot g) = D_t(\bar{c} \cdot g)(\partial) = (D\mu \circ D(\bar{c} \cdot g) \circ D_t\Delta)(\partial) = D_{\bar{c}}r_g(D_t\bar{c}(\partial)) + D_g\ell_{\bar{c}}(D_tg(\partial))$$

and

$$\begin{aligned} D_g\ell_{\bar{c}}(D_tg(\partial)) &= (D_g\ell_{\bar{c}} \circ D_e\ell_g \circ D_g\ell_{g^{-1}} \circ D_tg)(\partial) = D_g\ell_{\bar{c}}(((D_g\ell_{g^{-1}} \circ D_tg)(\partial))_g) = \\ &= ((D_g\ell_{g^{-1}} \circ D_tg)(\partial))_{\bar{c} \cdot g}^* = (D_g\ell_{g^{-1}}(\dot{g}))_{\bar{c} \cdot g}^* \end{aligned}$$

so

$$\begin{aligned} \omega\left(\frac{d}{dt}(\bar{c} \cdot g)\right) &= \omega(D_{\bar{c}}r_g(\dot{\bar{c}})) + D_g\ell_{g^{-1}}(\dot{g}) = r_g^*\omega(\dot{\bar{c}}) + D\ell_{g^{-1}}(\dot{g}) = \\ &= \text{Ad}_{g^{-1}}\omega(\dot{\bar{c}}) + D\ell_{g^{-1}}(\dot{g}) = D\ell_{g^{-1}}(Dr_g(\omega(\dot{\bar{c}}))) + D\ell_{g^{-1}}(\dot{g}) = \\ &= (D\ell_{g^{-1}} \circ Dr_g)(\omega(\dot{\bar{c}}) + Dr_{g^{-1}}(\dot{g})) \end{aligned}$$

Since  $D\ell_{g^{-1}} \circ Dr_g$  is an isomorphism, this is 0 if and only if

$$Dr_{g(t)^{-1}}(\dot{g}(t)) = -\omega(\dot{\bar{c}}(t))$$

So the statement of the proposition is just that this differential equation has a unique solution with  $g(0) = e$ . This is shown by the following lemma.  $\square$

**Lemma.** *Let  $X: [0, 1] \rightarrow \mathfrak{g}$  be smooth. There exists a unique  $g: [0, 1] \rightarrow G$  with  $g(0) = e$  and*

$$Dr_{g(t)^{-1}}(\dot{g}(t)) = X(t)$$

*Proof.* On  $G \times [0, 1]$ ,  $X$  defines a time-independent vector field  $\mathbb{X}$  with

$$\mathbb{X}_{(g,t)} = (X_g(t), \partial)$$

The flow of  $\mathbb{X}$  is defined for all  $t$  (see the proof of completeness of left-invariant vector fields on  $G$ ). Under the flow  $\varphi$  of  $\mathbb{X}$  we have

$$\varphi_t(e, 0) = (g(t), t)$$

for a  $g(t)$  which solves our equation. This is the only solution with  $g(0) = e$ .  $\square$

Let  $c: [0, 1] \rightarrow M$  be a smooth curve. Define

$$P_c: \pi^{-1}(c(0)) \rightarrow \pi^{-1}(c(1)) \quad p \mapsto \bar{c}(1)$$

where  $\bar{c}$  is the unique horizontal lift of  $c$  with  $\bar{c}(0) = p$ .

The map  $P_c$  is the *parallel transport map* defined by  $c$ .  $P_c$  is invertible by running back along  $c$ . Except from change of direction, it is independent of the parametrization of  $c$ . The parallel transport map can also be defined for a piecewise smooth curve  $c$  by concatenating the  $P_{c_i}$  for  $c_i$  obtained by restricting  $c$  to subintervals of  $[0, 1]$  where it is smooth.

Fix a basepoint  $m_0 \in M$ . For every closed piecewise smooth curve  $c: [0, 1] \rightarrow M$  with  $c(0) = c(1) = m_0$  we have

$$P_c: \pi^{-1}(m_0) \rightarrow \pi^{-1}(m_0)$$

---

**Claim.** *The set of all these  $P_c$  is a group with composition as group operation.*

*Proof.*  $\{P_c\}$  has  $\text{id}_{\pi^{-1}(m_0)}$  as an element obtained as  $P_c$  for  $c$  the constant path at  $m_0$ . If  $c_1$  and  $c_2$  are two closed paths beginning and ending at  $m_0$ , then  $P_{c_2} \circ P_{c_1} = P_{c_1 c_2}$ , where  $c_1 c_2$  denotes the concatenation of  $c_1$  and  $c_2$ . This is associative and  $P_c^{-1} = P_{\bar{c}}$  where  $\bar{c}$  is  $c$  parametrized backwards.  $\square$

Fix a basepoint  $p_0 \in P$  with  $\pi(p_0) = m_0$ . If  $P_c$  is one of the parallel transport maps defined above, then  $P_c(p_0) \in \pi^{-1}(m_0)$ . Since  $G$  acts simply transitively on the fiber, there exists a unique  $g(c) \in G$  such that  $P_c(p_0) = p_0 g(c)$ .

**Claim.** *The map*

$$h: \{P_c\} \rightarrow G \quad P_c \mapsto g(c)$$

*is an injective homomorphism of groups.*

*Proof.* Since  $h(P_{c_1} \circ P_{c_2}) = h(P_{c_2 c_1}) = g(c_2 c_1)$  and  $h(P_{c_1})h(P_{c_2}) = g(c_1)g(c_2)$  need to prove that  $g(c_2 c_1) = g(c_1)g(c_2)$ .

For any curve  $c: [0, 1] \rightarrow M$ , the curve  $\bar{c}$  from  $p \in P$  to  $P_c(p)$  is horizontal, since it is a horizontal lift of  $c$ . Since  $H$  is  $G$ -invariant, the  $G$ -action maps horizontal curves to horizontal curves, so for any  $g \in G$ ,  $\bar{c} \cdot g$  is a horizontal curve from  $pg$  to  $P_c(p)g$ . This means  $P_c(pg) = P_c(p)g$ , i.e.  $P_c$  is  $G$ -equivariant. So

$$p_0 g(c_2 c_1) = P_{c_2 c_1}(p_0) = P_{c_1} \circ P_{c_2}(p_0) = P_{c_1}(p_0 g(c_2)) = P_{c_1}(p_0)g(c_2) = p_0 g(c_1)g(c_2)$$

and thus  $g(c_2 c_1) = g(c_1)g(c_2)$ . We have proved that  $h$  is a homomorphism. Now suppose  $P_c \in \ker h$ , i.e.  $g(c) = h(P_c) = e$ . Then  $P_c(p_0) = p_0$ . Every  $p \in \pi^{-1}(p_0)$  is of the form  $p = p_0 g$  for some  $g \in G$ . But  $P_c(p) = P_c(p_0 g) = P_c(p_0)g = p_0 g = p$  by the  $G$ -equivariance of  $P_c$ , so  $P_c = \text{id}_{\pi^{-1}(p_0)}$ . Thus  $h$  is injective.  $\square$

**Definition.** The *holonomy group*  $\text{Hol}(H, p_0) = \text{Hol}(p_0)$  of the connection  $H$  wrt  $p_0 \in P$  is the subgroup of  $G$  obtained by parallel transport along closed loops based at  $p_0$ , i.e.  $\text{Hol}(H, p_0) = \text{Im } h$ .

The *restricted holonomy group*  $\text{Hol}_0(H, p_0) = \text{Hol}_0(p_0)$  is the subgroup obtained by considering only parallel transports  $P_c$  for closed loops  $c$  which are contractible or null-homotopic.

*Properties.*

- (1)  $\text{Hol}(H, p_1) = g^{-1} \text{Hol}(H, p_0)g$  if  $p_1 = p_0 g$ .
- (2)  $\text{Hol}(H, p_1) = \text{Hol}(H, p_0)$  if  $p_1$  is obtained from  $p_0$  by parallel transport.
- (3) If  $M$  is connected,  $\text{Hol}(H, p_0)$  and  $\text{Hol}(H, p_1)$  are conjugate in  $G$  for any  $p_0, p_1 \in H$ .

These properties also hold for  $\text{Hol}_0$ .

*Proof.*

- 
- (1) Consider  $h_0 \in \text{Hol}(H, p_0)$  and  $h_1 \in \text{Hol}(H, p_1)$  defined by  $P_c(p_0) = p_0 h_0$  and  $P_c(p_1) = p_1 h_1$  for the same curve  $c$  on  $M$ . Then

$$p_0 g h_1 = p_1 h_1 = P_c(p_1) = P_c(p_0 g) = P_c(p_0) g = p_0 h_0 g$$

so  $g h_1 = h_0 g$  and  $h_1 = g^{-1} h_0 g$ .

- (2) Let  $c$  be a smooth curve on  $M$  such that  $p_1 = P_c(p_0)$  and let  $h_1 \in \text{Hol}(H, p_1) = \text{Hol}(H, P_c(p_0))$ . There exists a closed curve  $c_1$  on  $M$  such that  $P_{c_1}(P_c(p_0)) = P_c(p_0) h_1$ . The curve  $c c_1 \bar{c}$  is a closed curve in  $M$  based at  $\pi(p_0)$ , so there exists  $h_0 \in \text{Hol}(H, p_0)$  such that  $P_{c c_1 \bar{c}}(p_0) = p_0 h_0$ . But

$$P_c(p_0) h_1 = P_c \circ P_c^{-1} \circ P_{c_1} \circ P_c(p_0) = P_c(P_{c c_1 \bar{c}}(p_0)) = P_c(p_0 h_0) = P_c(p_0) h_0$$

so  $h_1 = h_0$  and thus  $h_1 \in \text{Hol}(H, p_0)$ . By using  $\bar{c}$  instead of  $c$ , we get the other inclusion.

- (3) Since  $M$  is connected, there is a smooth curve  $c$  from  $\pi(p_0)$  to  $\pi(p_1)$ , which has a horizontal lift from  $p_0$  to  $P_c(p_0) \in \pi^{-1}(\pi(p_1))$ ,  $\text{Hol}(H, P_c(p_0)) = \text{Hol}(H, p_0)$  by (2). By (1), this is conjugate to  $\text{Hol}(H, p_1)$ .  $\square$

**Theorem.** *The restricted holonomy group  $\text{Hol}_0(H, p_0)$  is a connected Lie subgroup of  $G$ .*

*Proof.* By definition,  $\text{Hol}_0(H, p_0) \subset G$  is a subgroup. We claim that  $\text{Hol}_0(H, p_0)$  is connected, more precisely for any  $g \in \text{Hol}_0(H, p_0)$  there is a piecewise smooth curve  $\tilde{g}: [0, 1] \rightarrow G$  with  $\tilde{g}(0) = e$ ,  $\tilde{g}(1) = g$  and  $\tilde{g}(s) \in \text{Hol}_0(H, p_0)$  for all  $s \in [0, 1]$ . The property  $g \in \text{Hol}_0(H, p_0)$  means that there is a piecewise smooth curve  $c: [0, 1] \rightarrow M$  with  $c(0) = c(1) = m_0$  such that  $P_c(p_0) = p_0 g$  and  $c$  is contractible as a curve based at  $m_0$ . There exists a piecewise smooth map

$$H: [0, 1] \times [0, 1] \rightarrow M$$

such that

$$H(t, 0) = m_0, \quad H(t, 1) = c(t), \quad H(0, s) = H(1, s) = m_0 \quad \forall s, t \in [0, 1]$$

For every  $s \in [0, 1]$ ,

$$c_s: [0, 1] \rightarrow M \quad t \mapsto H(t, s)$$

is a piecewise smooth curve in  $M$  based at  $m_0$ . Define  $\tilde{g}$  by  $p_0 \tilde{g}(s) = P_{c_s}(p_0)$ . This is piecewise smooth in  $s$ . We have  $\tilde{g}(0) = e$  since  $c_0$  is constant and  $\tilde{g}(1) = g$  since  $c_1 = c$ .  $\tilde{g}(s) \in \text{Hol}_0(H, p_0)$  because each  $c_s$  is a closed loop based at  $m_0$  and is contractible. The proof of the theorem is completed by the following proposition.  $\square$

**Proposition.** *Let  $G$  be a Lie group and  $H \subset G$  a subgroup with the property that every  $g \in H$  can be connected to  $e$  by a piecewise smooth curve in  $H$ . Then  $H$  is a Lie subgroup of  $G$ .*

*Proof.* Let

$$\mathfrak{h} = \{\dot{c}(0) \mid c: [0, 1] \rightarrow G \text{ piecewise smooth, } c(0) = e, \forall t: c(t) \in H\}.$$

We claim that  $\mathfrak{h}$  is a Lie subalgebra of  $\mathfrak{g} = L(G)$ . If  $X \in \mathfrak{g}$  is in  $\mathfrak{h}$ , then so is  $\lambda X$  by reparametrizing  $c$  with  $\dot{c}(0) = E$ . If  $c_1$  and  $c_2$  are two curves with  $\dot{c}_1(0) = X \in \mathfrak{h}$  and  $\dot{c}_2 = Y \in \mathfrak{h}$ , then, with

$$c: [0, 1] \rightarrow G, \quad t \mapsto c_1(t)c_2(t),$$

we have  $\dot{c}(0) = X + Y$ , so  $\mathfrak{h} \in \mathfrak{g}$  is a linear subspace. It remains to prove that for  $X, Y \in \mathfrak{h}$  we have  $[X, Y] \in \mathfrak{h}$ . Let  $c_1, c_2$  be as above. Consider  $c(t^2) = c_1(t)c_2(t)c_1(-t)c_2(-t)$ . Without loss of generality, we may take  $c_1, c_2$  defined on  $(-\varepsilon, 1]$  for some small  $\varepsilon > 0$ . The defining formula for  $c$  makes sense for small positive  $t$ . We have  $\dot{c}(0) = [X, Y]$  and  $c$  satisfies  $c(t) \in H$  for all  $t$ , so  $[X, Y] \in \mathfrak{h}$ .

Now we define a subbundle  $E \subset TG$  by  $E_g := D\ell_g(\mathfrak{h}) \subset T_gG$  for all  $g \in G$ . We prove that  $E$  is integrable: Since  $\mathfrak{h}$  is closed under  $[-, -]$ ,  $E$  is involutive. By the Frobenius theorem,  $E$  is integrable, i.e. there exists an integral submanifold through every point. Let  $K \subset G$  be the maximal connected integral submanifold of  $E$  through  $e \in G$ .  $K$  is a connected Lie subgroup with Lie algebra  $\mathfrak{h}$ .

Next, we show that  $H \subset K$ . By assumption, every  $h \in H$  is connected to  $e \in G$  by a piecewise smooth  $c: [0, 1] \rightarrow G$  with  $c(t) \in H$  for all  $t$ . Suppose  $c$  is smooth. For a fixed  $t_0$  define  $\bar{c}(t) = c(t_0)^{-1}c(t) \in H$ . We have  $\bar{c}(t_0) = e$  and  $\dot{\bar{c}}(t_0) = D_{c(t_0)}\ell_{c(t_0)^{-1}}(\dot{c}(t_0)) \in \mathfrak{h}$ , so  $\dot{c}(t_0) = D_e\ell_{c(t_0)}(\dot{\bar{c}}(t_0)) \in E_{c(t_0)}$  for all  $t_0$ . Hence  $c$  is contained in  $K$  and in particular  $h = c(1) \in K$ . For piecewise smooth  $c$  we repeat this argument for each subinterval of  $[0, 1]$  where  $c$  is smooth. So  $H \subset K$ .

To prove that  $K \subset H$ , pick a basis  $X_1, \dots, X_k$  for  $\mathfrak{h}$ . For each  $i$  we can choose a curve  $c_i$  with  $c_i(0) = e$ ,  $c_i(t) \in H \forall t$  and  $\dot{c}_i(0) = X_i$ . Define

$$f: (-\varepsilon, \varepsilon)^k \rightarrow G, \quad (t_1, \dots, t_k) \mapsto c_1(t_1)c_2(t_2) \cdots c_k(t_k).$$

Since each  $c_i$  is contained in  $H$  and  $H \subset G$  is a subgroup,  $f(t_1, \dots, t_k) \in H$  for all  $t_i$  and  $f(0, \dots, 0) = e$ . The linear map  $D_{(0, \dots, 0)}f$  is an isomorphism between  $\mathbb{R}^k$  and  $\mathfrak{h}$ . So, for  $\varepsilon > 0$  small enough,  $f$  is an immersion. We obtain an immersed submanifold through  $e$  which is an integral submanifold for  $E$ , since  $\text{Im}(Df)$  is contained in  $E$  at every point. The maximal integral submanifold of  $E$  through  $e$  is  $K$ , so  $\text{Im} f \subset K$ . In fact,  $\text{Im} f$  and  $K$  have the same dimension, so  $\text{Im} f$  is an open neighbourhood of  $e$  in  $K$ . Since  $\text{Im} f \subset H$  by definition of  $f$ ,  $K \subset H$  is true locally near  $e$ . Since  $K$  is a connected Lie subgroup, each element of  $K$  is a product of finitely many elements of  $\text{Im} f \subset H$ . since  $H$  is also a subgroup,  $H \subset K$ .  $\square$

## 2.4 Curvature

**Definition.** The curvature of  $H$  is the following  $\mathfrak{g}$ -valued 2-form on  $P$ :

$$\Omega(X, Y) = d\omega(\mathcal{H}X, \mathcal{H}Y) \quad \forall X, Y \in T_pP, p \in P$$

For  $X, Y \in T_p P$ ,  $p \in P$ , we have

$$\begin{aligned}
(r_g^* \Omega)(X, Y) &= \Omega(Dr_g(X), Dr_g(Y)) = d\omega(\mathcal{H} Dr_g(X), \mathcal{H} Dr_g(Y)) = \\
&= d\omega(Dr_g(\mathcal{H} X), Dr_g(\mathcal{H} Y)) = (r_g^* d\omega)(\mathcal{H} X, \mathcal{H} Y) = \\
&= d(r_g^* \omega)(\mathcal{H} X, \mathcal{H} Y) = (d \operatorname{Ad}_{g^{-1}} \omega)(\mathcal{H} X, \mathcal{H} Y) = \\
&= \operatorname{Ad}_{g^{-1}} d\omega(\mathcal{H} X, \mathcal{H} Y) = \operatorname{Ad}_{g^{-1}} \Omega(X, Y),
\end{aligned}$$

so  $r_g^* \Omega = \operatorname{Ad}_{g^{-1}} \Omega$ .

**Proposition** (Structure equation).  $\Omega(X, Y) = d\omega(X, Y) + [\omega(X), \omega(Y)]$ .

*Proof.* Both sides of the claim are bilinear and skew-symmetric, so we may assume that each  $X, Y$  is either in  $V$  or in  $H$ . In the case  $X, Y \in H$ , we have  $\omega(X) = \omega(Y) = 0$ , and  $\Omega(X, Y) = d\omega(X, Y)$  by definition of  $\Omega$ . If instead  $X, Y \in V_p$  with  $p \in P$ , we may assume  $X = A_p^*$  and  $Y = B_p^*$  for  $A, B \in \mathfrak{g}$ . Since  $\mathcal{H} X = \mathcal{H} Y = 0$ , we have  $\Omega(X, Y) = 0$ . The structure equation then holds since

$$\begin{aligned}
d\omega(A^*, B^*) &= A^*(\omega(B^*)) - B^*(\omega(A^*)) - \omega([A^*, B^*]) = \\
&= A^*(B) - B^*(A) - \omega([A, B]^*) = 0 - 0 - [A, B] = \\
&= -[\omega(A^*), \omega(B^*)]
\end{aligned}$$

so in particular  $d\omega(X, Y) + [\omega(X), \omega(Y)] = 0 = \Omega(X, Y)$ . In the third case,  $X_p \in H_p$  and  $Y_p \in V$ , where  $X$  is a vector field and  $Y_p = B_p^*$  for some  $B \in \mathfrak{g}$ . Again,

$$\Omega(X, Y) = d\omega(\mathcal{H} X, \mathcal{H} Y) = d\omega(X, 0) = 0.$$

Then we have

$$\begin{aligned}
d\omega(X, Y) &= X(\omega(Y)) - Y(\omega(X)) - \omega([X, Y]) = \\
&= X(B) - 0 - \omega([X, B^*]) = -\omega([X, B^*]) = 0
\end{aligned}$$

by the following lemma. Since also  $[\omega(X), \omega(Y)] = [0, B] = 0$ , this completes the proof of case 3 and of the proposition.  $\square$

**Lemma.** *Let  $X$  be a vector field with  $X_p \in H_p$ . Then  $[X, B_p^*] \in H_p$ .*

*Proof.* For any two vector fields  $X$  and  $Y$  we have

$$[X, Y] = L_X Y = -\frac{d}{dt} D\varphi_t(Y) \Big|_{t=0}$$

With this we have for  $X$  horizontal and  $Y = B_p^*$ :

$$[X, B_p^*] = -[B_p^*, X] = \frac{d}{dt} D r_{\exp(tB)}(X) \Big|_{t=0} \in H$$

since  $H$  is invariant under right  $G$ -action.  $\square$

---

**Definition.** Let  $\alpha$  be a differential  $k$ -form on  $P$ . Then  $D$  is defined by

$$D\alpha = d\alpha \circ \mathcal{H}, \quad \text{i.e. } D\alpha(X_1, \dots, X_{k+1}) = d\alpha(\mathcal{H}X_1, \dots, \mathcal{H}X_{k+1}).$$

$D$  depends on the connection  $H$  and is called the *covariant derivative* defined by  $H$ .

**Proposition** (Bianchi identity).  $D\Omega = 0$ , in other words  $d\Omega(\mathcal{H}X, \mathcal{H}Y, \mathcal{H}Z) = 0$  for all  $X, Y, Z \in T_pP$ .

*Proof.* Let  $X, Y, Z \in H_p$ , then

$$\begin{aligned} d\Omega(X, Y, Z) &= L_X(\Omega(Y, Z)) + L_Y(\Omega(Z, X)) + L_Z(\Omega(X, Y)) \\ &\quad - \Omega([X, Y], Z) - \Omega([Z, X], Y) - \Omega([Y, Z], X) \\ &= L_X(d\omega(Y, Z)) + L_Y(d\omega(Z, X)) + L_Z(d\omega(X, Y)) \\ &\quad - d\omega([X, Y], Z) - d\omega([Z, X], Y) - d\omega([Y, Z], X) \\ &= dd\omega(X, Y, Z) = 0 \end{aligned} \quad \square$$

**Proposition.** Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle and  $H$  a connection of  $P$  with curvature  $\Omega$ . The following conditions are equivalent:

- (1)  $\Omega = 0$ .
- (2)  $H$  is involutive, i.e. closed under  $[-, -]$ .
- (3)  $H$  is integrable.

*Proof.* Conditions (2) and (3) are equivalent by the Frobenius theorem. Assume  $X, Y \in H$ . Then

$$\Omega(X, Y) = d\omega(X, Y) = L_X(\omega(Y)) - L_Y(\omega(X)) - \omega([X, Y]) = -\omega([X, Y]),$$

so  $\Omega = 0$  iff  $[X, Y] \in H$  whenever  $X, Y \in H$ . This shows the equivalence of (1) and (2).  $\square$

Let  $L \subset P$  be the maximal connected integral submanifold for  $H$  with  $p_0 \in L$ . If  $c$  is any loop in  $M$  based at  $m_0 = \pi(p_0)$ , then the horizontal lift  $\bar{c}$  of  $c$  with initial value  $p_0$  is contained in  $L$ . Note that  $L$  is a covering space of  $M$ .

$$\pi|_L: L \rightarrow M \quad D(\pi|_L): T_pL = H_p \rightarrow T_{\pi(p)}M$$

$D(\pi|_L)$  is an isomorphism, so  $\pi|_L$  is a local diffeomorphism.

**Definition.** The connection  $H = \ker \omega$  is *flat* if  $\Omega = 0$ .

Now let  $H$  be arbitrary, not necessarily flat. For  $p_0 \in P$  let

$$H(p_0) = \{p \in P \mid p \text{ is obtained from } p_0 \text{ by parallel transport}\}$$

Note that  $\text{Hol}_0(H, p_0)$  is a connected Lie subgroup of  $G$ . Moreover,  $\text{Hol}_0(H, p_0)$  is the connected component of  $e$  in  $\text{Hol}(H, p_0)$ .  $\text{Hol}(H, p_0)$  has at most countable many connected components, each diffeomorphic to  $\text{Hol}_0(H, p_0)$ . So  $\text{Hol}(H, p_0) \subset G$  is a Lie subgroup as well.

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**Proposition.** *If  $M$  is connected, then  $H(p_0)$  is a principal  $\text{Hol}(H, p_0)$ -bundle.*

*Proof.*  $H(p_0)$  is a subset of  $P$ , so we have a projection  $\pi: H(p_0) \rightarrow M$  by restricting  $\pi: P \rightarrow M$ . Consider

$$H(p_0) \cap \pi^{-1}(m_0) = \{p_0 g(c) \mid c \text{ is a closed loop based at } m_0\}.$$

Since  $g(c) \in \text{Hol}(H, p_0)$ , this shows that  $\text{Hol}(H, p_0)$  acts simply transitively on the fiber of  $H(p_0)$  over  $m_0$ .  $G$  acts on  $P$  on the right, and we restrict this action to the subgroup  $\text{Hol}(H, p_0) \in G$ .

We claim that the restricted action maps  $H(p_0)$  to itself. To prove this, let  $p \in H(p_0)$  and  $\bar{c}$  be a horizontal curve connecting  $p_0$  to  $p$ . Assume  $g \in \text{Hol}(H, p_0)$ . Then  $r_g \circ \bar{c}$  is a horizontal curve connecting  $p_0 g$  to  $pg$ . Because  $g \in \text{Hol}(H, p_0)$ , there is a horizontal curve from  $p_0$  to  $p_0 g$ . Concatenating with  $r_g \circ \bar{c}$  gives a piecewise smooth horizontal curve from  $p_0$  to  $pg$ , so  $pg \in H(p_0)$ .

Next, we want to show that the action of  $\text{Hol}(H, p_0)$  on  $H(p_0)$  is simply transitive on every fiber of  $H(p_0) \xrightarrow{\pi} M$ . Let  $p \in H(p_0)$  and  $\bar{c}$  be a horizontal curve from  $p_0$  to  $p$ . For every  $g \in \text{Hol}(H, p_0)$ ,  $pg \in H(p_0)$  by the previous paragraph. Suppose  $p' \in H(p_0) \cap \pi^{-1}(\pi(p))$ . There exists a horizontal curve  $\bar{c}'$  from  $p_0$  to  $p'$ . Since both  $p$  and  $p'$  are connected to  $p_0$  by horizontal curves, there is a horizontal curve from  $p$  to  $p'$ . So there exists  $g' \in \text{Hol}(H, p)$  such that  $p' = pg'$ . Since there is a horizontal curve between  $p_0$  and  $p$ , we have  $\text{Hol}(H, p) = \text{Hol}(H, p_0)$ . We have shown that  $\text{Hol}(H, p_0)$  acts transitively on  $H(p_0) \cap \pi^{-1}(\pi(p))$  for all  $p \in H(p_0)$ . Since the action of  $G$  on  $P$  has trivial stabilizers, the restricted action of  $\text{Hol}(H, p_0)$  on  $H(p_0)$  has trivial stabilizers.

By connectedness of  $M$ ,  $H(p_0)$  intersects every fiber of  $P$ . Take local trivializations for  $P$ :

$$\psi: \pi^{-1}(U) \rightarrow U \times G$$

Since  $\text{Hol}_0(H, p_0) \subset G$  is a Lie subgroup,  $U \times \text{Hol}_0(H, p_0)$  is a smooth manifold and so is  $U \times \text{Hol}(H, p_0)$ . Using the restriction of  $\psi$  to  $\pi^{-1}(U) \cap H(p_0)$ , we can identify  $\pi^{-1}(U) \cap H(p_0)$  with  $U \times \text{Hol}(H, p_0)$ .  $H(p_0)$  has a unique smooth structure for which these identifications are diffeomorphisms. With respect to this smooth structure on  $H(p_0)$ , the action of  $\text{Hol}(H, p_0)$  on  $H(p_0)$  discussed before is smooth.  $\square$

**Definition.** Let  $p_0 \in P$  and  $H$  be a connection on  $P$ . The principal  $\text{Hol}(H, p_0)$ -bundle  $H(p_0)$  is the *holonomy bundle* of  $H$  through  $p_0$ .

*Remark.* Consider  $H(p_0) \hookrightarrow P$ . This is a homomorphism of principal bundles where the corresponding homomorphism of Lie groups is  $\text{Hol}(H, p_0) \hookrightarrow G$ .

A reduction of the structure group of  $P$  to  $H$  is a principal  $H$ -bundle  $Q \rightarrow M$  together with a homomorphism  $Q \rightarrow P$  with respect to the inclusion  $H \hookrightarrow G$  such that

$$\begin{array}{ccc} Q & \longrightarrow & P \\ \downarrow & \searrow & \\ M & & \end{array}$$



commutes.

The existence of such a reduction is equivalent to a section of the bundle

$$\begin{array}{ccc} G/H & \longrightarrow & P/H \\ & & \downarrow \\ & & H \end{array}$$

Extending this definition slightly, we have proved that if  $H$  is a connection of  $P$  and  $Q := H(p_0)$  is the holonomy bundle through a basepoint  $p_0 \in P$ , then  $Q \hookrightarrow P$  defines a reduction of the structure group of  $P$  to  $\text{Hol}(H, p_0) \subset G$ .

Suppose  $Q$  is a reduction of the structure group  $G$  of  $P$  to a subgroup  $G' \subset G$ . Let  $H$  be a connection on  $Q$ .

**Claim.**  $H$  extends uniquely to  $P$ .

*Proof.* For  $p \in P$  consider some  $p' \in Q \cap \pi^{-1}(\pi(p))$ . The connection  $H$  on  $Q$  defines  $H_{p'} \subset T_{p'}Q$ .  $H_{p'}$  is a complement to the vertical subspace at  $p'$  in  $Q$  and also in  $P$ . There exists  $g \in G$  such that  $p'g = p$ . Define  $H_p := Dr_g(H_{p'})$ . This is a horizontal subspace at  $p$  in  $P$ .

This defines a connection on  $P$ !  $H$  is clearly horizontal. It is also smooth, so we only have to check  $G$ -invariance. Let  $\bar{p} \in \pi^{-1}(\pi(p))$ . There exists  $\bar{g} \in G$  such that  $\bar{p} = p\bar{g}$ . We have to check that

$$Dr_{\bar{g}}(H_p) = H_{\bar{p}}$$

There exist  $p' \in Q \cap \pi^{-1}(\pi(p))$  and  $g' \in G$  such that  $p' = pg'$ . Then  $p = p'g'^{-1}$  and  $\bar{p} = p\bar{g} = p'g'^{-1}\bar{g}$ , so

$$H_{\bar{p}} = Dr_{g'^{-1}\bar{g}}(H_{p'}) = Dr_{\bar{g}}(Dr_{g'}(H_{p'})) = Dr_{\bar{g}}(H_p).$$

Suppose  $\bar{H}$  is a connection on  $P$  which restricts to the given  $H$  on  $Q$ , i.e.  $\bar{H}_p = H_p$  if  $p \in Q$ . Then  $\bar{H}$  is the connection defined above, because every fiber of  $P$  contains a point in  $Q$  and the connection  $\bar{H}$  on  $P$  is completely determined by  $G$ -invariance and  $\bar{H}$  at a single point in every fiber.  $\square$

**Definition.** Let  $\bar{H}$  be a connection on a principal  $G$ -bundle  $P \xrightarrow{\pi} M$  and  $G' \subset G$  a Lie subgroup.  $\bar{H}$  is *reducible to the subgroup  $G'$*  if there is a reduction  $Q \rightarrow M$  of  $P$  to a principal  $G'$ -bundle and a connection  $H$  on  $Q$  whose extension to  $P$  is  $\bar{H}$ .

**Proposition.** Every connection  $\bar{H}$  on  $P$  is reducible to  $\text{Hol}(\bar{H}, p_0)$ .

*Proof.* Set  $Q = H(p_0)$  and  $H$  the restriction of  $\bar{H}$  to  $Q$ .  $\square$

**Theorem** (Ambrose–Singer). *Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle with a connection  $H = \ker \omega$  and curvature  $\Omega$ , where  $M$  is connected, and let  $p \in P$ . Define*

$$\mathfrak{g}' := \{\Omega(X_p, Y_p) \mid X_p, Y_p \in T_p P\} \subset \mathfrak{g}$$

*Then  $\mathfrak{g}'$  is the Lie algebra of  $\text{Hol}(H, p)$ .*

*Proof.* We replace  $P$  by the homonomy bundle  $Q = H(p)$  through  $P$ . We work on  $Q$  whose structure group is  $G' = \text{Hol}(H, p)$ . We have to prove that the values of  $\Omega$  span the full Lie algebra of  $G'$ .

Take a basis  $A_1, \dots, A_k$  for the subspace  $\mathfrak{g}'$ . The  $A_i$  induce fundamental vector fields  $A_i^*$  on  $Q$ . Let  $X_1, \dots, X_n$  be a basis for  $H_p$  and  $X_1^*, \dots, X_n^*$  extensions of the  $X_i$  to horizontal vector fields. Let  $S \subset T_p Q$  be the span of the  $(A_i^*)_p$  and  $(X_j^*)_p = X_j$ . We extend  $S$  to a smooth distribution on  $Q$  by setting

$$S_q := \text{span}\{(A_1^*)_q, \dots, (A_k^*)_q\} \oplus H_q.$$

We claim that  $S$  is integrable as a distribution on  $Q$ . To check that  $S$  is closed under  $[-, -]$ , we can check at  $p$ : First,  $[A_i^*, A_j^*] = [A_i, A_j]^*$ , so we need to check that  $\mathfrak{g}' = \text{span}\{A_1, \dots, A_k\}$  is a Lie subalgebra. Second,  $[A_i^*, X_j^*]$  is horizontal by a previous Lemma, so it is in  $H \subset S$ . And third,

$$[X_i^*, X_j^*] = \mathcal{H}([X_i^*, X_j^*]) + \mathcal{V}([X_i^*, X_j^*]).$$

Since the horizontal part is in  $S$ , we only need to prove that  $\mathcal{V}([X_i^*, X_j^*]) \in S$ . Since the fundamental vector fields span the vertical subspace, we can write

$$\mathcal{V}([X_i^*, X_j^*](p)) = B_p^* \quad \text{for some } B \in \mathfrak{g},$$

so  $\omega(\mathcal{V}([X_i^*, X_j^*](p))) = B$ . Then

$$\begin{aligned} \Omega(X_i^*(p), X_j^*(p)) &= d\omega(X_i^*(p), X_j^*(p)) = L_{X_i^*}(\omega(X_j^*)) - L_{X_j^*}(\omega(X_i^*)) - \omega([X_i^*, X_j^*]) = \\ &= -\omega([X_i^*, X_j^*](p)) = -\omega(\mathcal{V}([X_i^*, X_j^*](p))) = -B \end{aligned}$$

so  $B \in \text{span}\{A_1, \dots, A_k\}$ . The equation  $\mathcal{V}([X_i^*, X_j^*](p)) = B_p^*$  then shows that  $\mathcal{V}([X_i^*, X_j^*](p)) \in \text{span}\{(A_1^*)_p, \dots, (A_k^*)_p\} \subset S$ .

We have proved that  $S$  is closed under  $[-, -]$ , so it is integral by the Frobenius theorem.

Let  $L$  be the maximal connected integral submanifold of  $S$  through the point  $p \in Q$ . Every point in  $Q$  can be reached from  $p$  by parallel transport of  $p$ . The corresponding horizontal curve is tangent to  $H$  and therefore tangent to  $S$ . Therefore the whole curve is contained in  $L$  and so  $L = Q$ . Since

$$k + \dim M = \text{rank } S = \dim L = \dim Q = \dim G' + \dim M,$$

we have  $\dim \mathfrak{g}' = k = \dim G' = \dim L(G')$ , so  $\mathfrak{g}' = L(G')$ .  $\square$

By definition,  $H$  is  $G$ -invariant. So, since  $r_g^* \omega = \text{Ad}_{g^{-1}} \omega$ , we also have  $r_g^* \Omega = \text{Ad}_{g^{-1}} \Omega$ , i.e.  $\Omega(Dr_g(X), Dr_g(Y)) = \text{Ad}_{g^{-1}} \Omega(X, Y)$  for all  $X, Y \in T_p P$ . Since  $\text{Ad}_{g^{-1}}$  is a linear isomorphism, there is as much curvature at  $pg$  as there is at  $p$  for all  $g \in G$ .

With  $E = P \times_{\text{Ad}} \mathfrak{g} = P \times \mathfrak{g} / \simeq$  where  $(p, A) \simeq (pg, \text{Ad}_{g^{-1}} A)$  for all  $g \in G$ , the bundle  $\pi_E: E \rightarrow M, [(p, A)] \rightarrow \pi(p)$  is a vector bundle on  $M$  whose fiber at every point  $m \in M$  is isomorphic to  $\mathfrak{g}$ . We interpret the curvature  $\Omega$  of a connection  $H$  on  $P$  as a 2-form on  $M$  with values in  $E$ , i.e.

$$\Omega \in \Omega^2(M) \otimes E = \Gamma(\Lambda^2 T^* M \otimes E)$$

For  $X, Y \in T_m M$  we should have  $\Omega(X, Y) \in E_m = \pi_E^{-1}(m)$ . Given  $X, Y \in T_m M$ , choose preimages  $\tilde{X}, \tilde{Y} \in T_p P$  with  $\pi(p) = m$  and  $D_p \pi(\tilde{X}) = X$ ,  $D_p \pi(\tilde{Y}) = Y$ . Then

$$\Omega(X, Y) = [(p, \Omega(\tilde{X}, \tilde{Y}))] \in E_{\pi(p)} = E_m$$

If we replace  $\tilde{X}$  by  $\tilde{X}' \in T_p P$  with  $D_p \pi(\tilde{X}') = X$ , then  $\tilde{X} - \tilde{X}' \in \ker D_p \pi = V_p$ , so

$$\Omega(\tilde{X}', \tilde{Y}) = \Omega(\tilde{X}' - \tilde{X}, \tilde{Y}) + \Omega(\tilde{X}, \tilde{Y}) = \Omega(\tilde{X}, \tilde{Y})$$

This shows that  $\Omega(X, Y)$  is independent of the choice of  $\tilde{X}$  at  $p$  and similarly for  $\tilde{Y}$  at  $p$ .

Any  $p' \in P$  with  $\pi(p') = m$  is of the form  $p' = pg$  for some  $g \in G$ . Then

$$(p, \Omega(\tilde{X}, \tilde{Y})) \simeq (pg, \text{Ad}_{g^{-1}} \Omega(\tilde{X}, \tilde{Y})) = (p', r_g^* \Omega(\tilde{X}, \tilde{Y})) = (p', \Omega(Dr_g(\tilde{X}), Dr_g(\tilde{Y})))$$

and  $D\pi(Dr_g(\tilde{X})) = X$ ,  $D\pi(Dr_g(\tilde{Y})) = Y$ . This shows that  $\Omega(X, Y) := [(p, \Omega(\tilde{X}, \tilde{Y}))]$  is well-defined as an element of  $E_m$ .

## 2.5 Global gauge transformations

**Definition.** An *automorphism* (or *global gauge transformation*) of  $P$  is a diffeomorphism  $\phi: P \rightarrow P$  such that  $\pi \circ \phi = \pi$  and  $\phi(pg) = \phi(p)g$  for all  $g \in G$ .

Every such  $\phi$  has an inverse  $\phi^{-1}$  which is a diffeomorphism. Moreover,  $\pi \circ \phi^{-1} = \pi$  and  $\phi^{-1}(pg) = \phi^{-1}(p)g$  for all  $g \in G$ . So the automorphisms of  $P$  form a group.

**Definition.** The group of automorphisms of  $P$  is called the *gauge group*  $\mathcal{G}$  of  $P$ .

**Proposition.**  $\mathcal{G}$  is the space of sections of the bundle  $F \rightarrow M$  with fiber  $G$  associated to  $P$  by the conjugation action of  $G$  on itself.

*Proof.*  $F$  is defined by  $F = P \times G / \simeq$  where  $(p, h) \simeq (pg, g^{-1}hg)$  for all  $g \in G$ . Let  $\phi \in \mathcal{G}$ . Then  $\phi(p) = pu(p)$  for some smooth  $u: P \rightarrow G$ . The definition of automorphisms gives

$$(pg)u(pg) = pu(p)g \Rightarrow gu(pg) = u(p)g \Rightarrow u(pg) = g^{-1}u(p)g$$

Define a section  $s: M \rightarrow F$  by  $s(m) = [(p, u(p))]$  for any  $p \in \pi^{-1}(m)$ . Since

$$(p, u(p)) \simeq (pg, g^{-1}u(p)g) = (pg, u(pg)),$$

$s$  is well-defined and so it is a smooth section of  $F$ .

Conversely, suppose  $s: M \rightarrow F$  is a smooth section of  $F$ . Define  $u: P \rightarrow G$  by  $p \mapsto g$  if  $[(p, g)] = s(\pi(p))$ . Then  $\phi(p) = pu(p)$  is a gauge transformation of  $P$ .  $\square$

The gauge group  $\mathcal{G}$  acts on connections. If  $\omega$  is a connection 1-form defining a connection  $H$  on  $P$ , then  $\phi^* \omega$  is also a connection 1-form defining the pulled-back connection:

$$(\phi^* H)_p = (D_p \phi)^{-1} H_{\phi(p)}$$

---

For every  $H$  we can think of its curvature  $\Omega$  as a section of  $\Lambda^2 T^*M \otimes E$ , equivalently a 2-form on  $M$  with values in  $E$ , where  $E = P \times_{\text{Ad}} \mathfrak{g}$ . The action of  $\mathcal{G}$  on  $P$  by automorphisms induces an action on  $E$ :

$$\mathcal{G} \times E \rightarrow E, \quad (\phi, [p, A]) \mapsto [\phi(p), A]$$

If  $[q, B] = [p, A]$ , then there exists  $g \in G$  such that  $q = pg$  and  $B = \text{Ad}_{g^{-1}}(A)$ , so

$$[\phi(q), B] = [\phi(pg), B] = [\phi(p), \text{Ad}_g(B)] = [\phi(p), A].$$

This shows that the action of  $\mathcal{G}$  on  $E$  is well-defined.  $\phi$  maps  $\phi^*H$  to  $H$  and so it maps the curvature  $\tilde{\Omega}$  of  $\phi^*H$  to the curvature  $\Omega$  of  $H$ , i.e.

$$\phi(\tilde{\Omega}(X, Y)) = \Omega(X, Y) \quad \forall X, Y \in T_m M$$

Choose  $p \in \pi^{-1}(m)$  and write  $\tilde{\Omega}(X, Y) = [p, A]$  for some  $A \in \mathfrak{g}$ . Then

$$\phi\tilde{\Omega}(X, Y) = [\phi(p), A] = [pu(p), A] = [p, \text{Ad}_{u(p)}(A)]$$

So if  $A \in \mathfrak{g}$  represents the curvature of  $\phi^*H$ , then  $\text{Ad}_u(A)$  represents the curvature of  $H$  itself. If  $\phi(p) = pu(p)$ , then the curvature  $\tilde{\Omega}$  of  $\phi^*H$  is  $\tilde{\Omega} = \text{Ad}_{u^{-1}}\Omega$  where  $\Omega$  is the curvature of  $H$ . (The same formula holds for the curvature as a 2-form).

**Corollary.** *If  $\phi \in \mathcal{G}$  and  $H$  is a connection on  $P$ , then  $\phi^*H$  is flat if and only if  $H$  is flat.*

**Definition.** Two connections  $H_1, H_2$  on  $P$  are called gauge equivalent if there is a  $\phi \in \mathcal{G}$  with  $\phi^*H_1 = H_2$ .

The corollary says that if  $H_1, H_2$  are gauge equivalent, then  $H_1$  is flat if and only if  $H_2$  is flat.

Let  $\langle -, - \rangle$  be a Riemannian metric on  $M$ . If  $M$  is oriented, then  $\langle -, - \rangle$  induces a volume form  $\text{dvol}$  on  $M$  characterized by

$$\text{dvol}(e_1, \dots, e_n) = 1$$

if  $e_1, \dots, e_n$  is a positively oriented orthonormal basis for  $(T_m M, \langle -, - \rangle)$ . Assume that  $\mathfrak{g}$  is equipped with an Ad-invariant, positive definite scalar product.  $\langle -, - \rangle$  together with the Ad-invariant scalar product in  $\mathfrak{g}$  induces a smooth fiber-wise metric on  $\Lambda^2 T^*M \otimes E$ .

**Definition.** If  $H$  is a connection on  $P \rightarrow M$  with curvature  $\Omega$ , where  $M$  is an oriented compact manifold, we define the *Yang-Mills-functional*

$$\mathcal{YM}(H) := \int_M \|\Omega\|^2 \text{dvol}$$

**Lemma.**  $\mathcal{YM}(\phi^*H) = \mathcal{YM}(H)$ , i.e.  $\mathcal{YM}$  is  $\mathcal{G}$ -invariant.

*Proof.* Let  $\tilde{\Omega}$  be the curvature of  $\phi^*H$ . Since the scalar product on the fiber of  $E$  is Ad-invariant, we have

$$\|\tilde{\Omega}\|^2 = \|\Omega\|^2 \quad \square$$

---

*Remark.* We have  $\mathcal{YM}(H) \geq 0$  with equality if and only if  $H$  is flat.

**Theorem.** *There is a 1:1 correspondence between the flat connections on all possible principal  $G$ -bundles  $P \rightarrow M$  up to gauge equivalence and the set  $\text{Hom}(\pi_1(M), G)/G$  where  $G$  acts on homomorphisms by conjugation.*

**Lemma.** *Let  $P \xrightarrow{\pi} M$  be a principal  $G$ -bundle and  $H$  a connection on  $P$ . If  $H$  is flat, then  $P_c$  (with respect to  $H$ ) depends only on the homotopy class of  $c$ .*

*Proof.* If  $H$  is flat, then by the Ambrose–Singer theorem,  $\text{Hol}_0$  is trivial, so  $P_c$  is the identity if  $c$  is a closed loop which is null-homotopic through closed loops.

Let  $c_1, c_2$  be paths from  $m_1$  to  $m_2$ . Then  $c_1$  travelled backwards followed by  $c_2$  is a closed loop based at  $m_2$ . Parallel transport along this loop maps  $P_{c_1}(p)$  to  $P_{c_2}(p)$  for all  $p \in \pi^{-1}(m_2)$ . If  $c_1$  and  $c_2$  are homotopic with fixed endpoints, then this loop at  $m_2$  is null-homotopic as a loop. Since  $H$  is flat, this implies  $P_{c_1}(p) = P_{c_2}(p)$ .  $\square$

If  $H$  is a flat connection on  $P \xrightarrow{\pi} M$  and  $p_0 \in \pi^{-1}(m_0)$ , define the *holonomy representation*

$$\text{hol}: \pi_1(M, m_0) \rightarrow G, \quad [\gamma] \mapsto g(\gamma)^{-1}$$

where  $P_\gamma(p_0) = p_0 g(\gamma)$ . This is well-defined by the lemma and is a group homomorphism  $g(\gamma)g(\gamma') = g(\gamma'\gamma)$  since

$$p_0 g(\gamma)^{-1} g(\gamma')^{-1} = P_{\gamma'}(P_\gamma(p_0)) = P_{\gamma'\gamma}(p_0) = p_0 g(\gamma'\gamma)^{-1}.$$

Suppose we use  $p_1 \in \pi^{-1}(m_0)$  instead of  $p_0$  to define  $\text{hol}$ . Then we get  $g_1$  defined by  $P_\gamma(p_1) = p_1 g_1(\gamma)$ . There exists a unique  $h \in G$  such that  $p_1 = p_0 h$ , so

$$p_0 g(\gamma) h = P_\gamma(p_0) h = P_\gamma(p_0 h) = p_0 h g_1(\gamma).$$

This implies  $g_1(\gamma)^{-1} = h^{-1} g(\gamma)^{-1} h$ , so the conjugacy class of  $\text{hol}$  is independent of the choice of basepoint  $p_0 \in \pi^{-1}(m_0)$ .

**Lemma.** *If  $H_1, H_2$  are gauge equivalent flat connections on  $P \xrightarrow{\pi} M$ , then their holonomy representations are conjugate.*

*Proof.* Let  $\phi: P \rightarrow P$  be a gauge transformation with  $D\phi(H_1) = H_2$ . Pick a basepoint  $p_1 \in \pi^{-1}(m_0)$  to define  $\text{hol}_1$ , the holonomy representation of  $H_1$ . To define  $\text{hol}_2$ , the holonomy representation of  $H_2$ , use the basepoint  $p_2 = \phi(p_1)$ . Then  $\text{hol}_1([\gamma]) = g_1(\gamma)^{-1}$  and  $\text{hol}_2([\gamma]) = g_2(\gamma)^{-1}$  where  $g_1$  and  $g_2$  are defined by  $P_\gamma^1(p_1) = p_1 g_1(\gamma)$  and  $P_\gamma^2 = p_2 g_2(\gamma)$  where  $P^1$  is the parallel transport with respect to  $H_1$  and  $P^2$  is the parallel transport with respect to  $H_2$ . We have

$$p_2 g_2(\gamma) = P_\gamma^2(p_2) = \phi(p_1 g_1(\gamma)) = \phi(p_1) g_1(\gamma) = p_2 g_1(\gamma)$$

so  $g_1(\gamma) = g_2(\gamma)$ , meaning that  $\text{hol}_1 = \text{hol}_2$  if we use  $p_1$  respectively  $p_2 = \phi(p_1)$  as basepoints for the definition of  $\text{hol}$ .  $\square$

---

Let  $\rho: \pi_1(M, m_0) \rightarrow G$  be a representation. Consider the universal covering  $\widetilde{M} \rightarrow M$ . Define  $P: \widetilde{M} \times_\rho G = \widetilde{M} \times G / \simeq$  where

$$(x, g) \simeq (\gamma x, \rho(\gamma)g) \quad \forall \gamma \in \pi_1(M, m_0)$$

$P$  is the quotient of  $\widetilde{M} \times G$  by the action of  $\pi_1(M, m_0)$  given by

$$\pi_1(M, m_0) \times \widetilde{M} \times G \rightarrow \widetilde{M} \times G \quad (\gamma, x, g) \mapsto (\gamma x, \rho(\gamma)g)$$

The equivalence class of  $(x, g)$  is denoted by  $[x, g]$ . Define  $\pi: P \rightarrow M, [x, g] \mapsto [x]$  where  $\widetilde{M} \rightarrow M, x \mapsto [x]$  comes from the covering. This is well-defined and smooth.  $G$  acts on  $P$  on the right as follows:

$$P \times G \rightarrow P, \quad ([x, h], g) \mapsto [x, hg] =: [x, h]g$$

For any  $\gamma \in \pi_1(M, m_0)$ , we have  $[x, h] = [\gamma x, \rho(\gamma)h]$ . Since also

$$[\gamma x, \rho(\gamma)h]g = [\gamma x, \rho(\gamma)hg] = [x, hg]$$

this right  $G$ -action on  $P$  is well-defined.

The  $P$  constructed in this way from  $\rho$  is a principal  $G$ -bundle over  $M$ .  $\widetilde{M} \times G$  has a natural connection whose horizontal subspaces are tangent spaces to  $\widetilde{M} \times \{g\}$ . This distribution is tautologically integrable, so the connection is flat.  $\pi_1(M, m_0)$  acts on  $\widetilde{M} \times G$  preserving the flat product connection, which therefore descends to  $P$  as a flat connection.

Suppose  $\bar{\rho}$  is defined by  $\bar{\rho}(\gamma) = \alpha\rho(\gamma)\alpha^{-1}$  for all  $\gamma$  and some fixed  $\alpha \in G$ . Then  $\bar{\rho}$  gives rise to a principal  $G$ -bundle  $\bar{P}$  with a flat connection  $\bar{H}$ .

**Lemma.** *There is an isomorphism of principal bundles  $\phi: \bar{P} \rightarrow P$  with  $D\phi(\bar{H}) = H$ .*

*Proof.* We have  $\bar{P} = \widetilde{M} \times G / \simeq$  with

$$(x, g) \simeq (\gamma x, \bar{\rho}(\gamma)g) = (\gamma x, \alpha\rho(\gamma)\alpha^{-1}g) \quad \forall \gamma \in \pi_1(M, m_0)$$

Now define

$$\phi: \bar{P} \rightarrow P \quad [x, g]_{\bar{\rho}} \mapsto [x, \alpha^{-1}g]_{\rho}$$

This  $\phi$  is well-defined since  $[x, g]_{\bar{\rho}} = [\gamma x, \alpha\rho(\gamma)\alpha^{-1}g]_{\bar{\rho}}$  is mapped to  $[x, \alpha^{-1}g]_{\rho} = [\gamma x, \rho(\gamma)\alpha^{-1}g]_{\rho}$ .  $\phi$  is also smooth. We have  $\pi_P \circ \phi = \pi_{\bar{P}}$  and

$$\phi([x, g]_{\bar{\rho}}h) = \phi([x, gh]_{\bar{\rho}}) = [x, \alpha^{-1}gh]_{\rho} = [x, \alpha^{-1}g]_{\rho}h = \phi([x, g]_{\bar{\rho}})h.$$

This shows that  $\phi$  is an automorphism of principal  $G$ -bundles.  $\phi$  preserves the local product structures in which  $H, \bar{H}$  are given by the tangent spaces to the first factor in  $U \times G, U \subset M$  open. So  $D\phi(\bar{H}) = H$ .  $\square$

For the theorem, it remains to prove that the composition of these two maps. in both directions is the identity. Start with a representation  $\rho: \pi_1(M, m_0) \rightarrow G$  and consider the corresponding principal  $G$ -bundle  $P$  and the flat connection  $H$  on  $P$ . Choose a basepoint  $x_0 \in \overline{M}$  with  $[x_0] = m_0$ . Let  $\gamma$  be a loop in  $M$  based at  $m_0$ . Then  $\gamma$  has a unique lift  $\tilde{\gamma}$  to  $\overline{M}$  with starting point  $x_0$  and endpoint  $[\gamma]x_0$ .

Define  $\bar{\gamma}(t) := [\tilde{\gamma}(t), e]$ , where  $e \in G$  is the neutral element. If  $\pi: P \rightarrow M$  is the projection, then

$$\pi(\bar{\gamma}) = \pi([\tilde{\gamma}(t), e]) = [\tilde{\gamma}(t)] = \gamma(t)$$

so  $\bar{\gamma}$  is a lift of  $\gamma$  from  $M$  to  $P$ . The starting point of  $\bar{\gamma}$  is  $[x_0, e]$ . This lift is horizontal for the flat connection  $H$  because the curve  $(\tilde{\gamma}(t), e)$  in  $\overline{M} \times G$  has tangent vector tangent to the first factors.

We use  $p_0 = [x_0, e]$  as a basepoint in  $P$  to define hol.

$$\text{hol}: \pi_1(M, m_0) \rightarrow G, \quad [\gamma] \mapsto g(\gamma)^{-1}$$

where  $P_\gamma(p_0) = p_0 g(\gamma)$ . Since  $\bar{\gamma}$  is the unique horizontal lift of  $\gamma$ ,

$$p_0 g(\gamma) = P_\gamma(p_0) = \bar{\gamma}(1) = [\tilde{\gamma}(1), e] = [[\gamma]x_0, e] = [x_0, \rho([\gamma])^{-1}] = [x_0, e] \rho([\gamma])^{-1} = p_0 g(\gamma)^{-1}$$

so  $\text{hol} = \rho$ .

Finally, start with a flat connection  $H$  on some principal  $G$ -bundle  $P \xrightarrow{\pi} M$ . Fix  $p_0 \in \pi^{-1}(m_0)$  and define  $\text{hol}: \pi_1(M, m_0) \rightarrow G$  using the basepoint  $p_0$ . Define  $\overline{P}$  by  $\overline{M} \times G / \simeq$  where  $(x, g) \simeq (\gamma x, \text{hol}(\gamma)g)$  for all  $\gamma \in \pi_1(M, m_0)$ .  $\overline{P}$  has an obvious flat connection  $\overline{H}$ . We need to find an isomorphism  $\phi: P \rightarrow \overline{P}$  with  $D\phi(H) = \overline{H}$ .

Let  $H(p)$  be the holonomy bundle of  $p \in P$ . So  $H(p) = \overline{M}/\Gamma$  where  $\Gamma \subset \pi_1(M, m_0)$  is a subgroup. In fact,  $\Gamma = \ker(\text{hol})$ . Define

$$\phi: H(p) \rightarrow \overline{P}, \quad [x] \mapsto [x, e]$$

If  $\gamma \in \Gamma$ , then  $[x] = [\gamma x]$ . Since

$$[\gamma x, e] = [x, \text{hol}(\gamma)^{-1}] = [x, e],$$

$\phi$  is well-defined and smooth. If  $q \in P \setminus H(p)$ , there exists  $g$  such that  $qg \in H(p)$ . Define  $\phi(q) := \phi(qg)g^{-1}$ . We will leave out the check that this is well-defined. This  $\phi$  defined on all of  $P$  is then an isomorphism  $P \rightarrow \overline{P}$  mapping  $H$  to  $\overline{H}$ .

**Proposition.** *Let  $\varphi$  be a  $\mathfrak{g}$ -valued 1-form on  $P$  satisfying  $r_g^* \varphi = \text{Ad}_{g^{-1}} \varphi$  and  $\varphi(X) = 0$  if  $X$  is vertical. Then*

$$D\varphi(X, Y) = d\varphi(X, Y) + [\omega(X), \varphi(Y)] + [\varphi(X), \omega(Y)] \quad \forall X, Y \in TP$$

*Proof.* Both sides of the equation are bilinear and skew-symmetric. It suffices to check the three cases that  $X, Y$  are both horizontal, both vertical or one horizontal and the other vertical.

If both  $X$  and  $Y$  are horizontal,  $\omega(X) = 0 = \omega(Y)$  and  $D\varphi(X, Y) = d\varphi(X, Y)$  by the definition of the covariant derivative.

If  $X$  and  $Y$  are vertical,  $\varphi(X) = 0 = \varphi(Y)$  and  $D\varphi(X, Y) = 0$  since  $\mathcal{H}X = 0 = \mathcal{H}Y$ . Extend  $X, Y$  to fundamental vector fields  $A_p^* = X, B_p^* = Y$ , then

$$d\varphi(A^*, B^*) = L_{A^*}(\varphi(B^*)) - L_{B^*}(\varphi(A^*)) - \varphi([A^*, B^*]) = 0$$

since  $[A^*, B^*]$  is vertical and  $\varphi$  vanishes on fundamental vector fields, so  $d\varphi(X, Y) = (d\varphi(A^*, B^*))(p) = 0$ .

Given  $X \in V_p$  and  $Y \in H_p$  we choose extensions to vector fields on  $P$  as follows:  $X$  is extended by  $A^*$  with  $A \in \mathfrak{g}$ , such that  $A_p^* = X$ .  $Y$  is extended to a  $G$ -invariant horizontal vector field  $\tilde{Y}$  on  $P$ . This is possible since:  $D_p\pi: H_p \rightarrow T_{\pi(p)}M$  is an isomorphism. We extend  $D_p\pi(Y)$  to a vector field on  $M$  with support in a neighbourhood of  $\pi(p)$  over which  $P$  is trivial. Choosing a section  $s: U \rightarrow P$ ,  $U$  containing the support of the vector field in  $M$ , we can an isomorphism  $D\pi: H_{s(U)} \rightarrow TU$ . We lift the vector field on  $M$  under this isomorphism and use the  $G$ -action to extend it to a  $G$ -invariant horizontal vector field on  $P$  extending the original  $Y$ .

Now  $X$  is vertical, so  $\varphi(X) = 0$  and  $D\varphi(X, Y) = 0$  since  $\mathcal{H}X = 0$ . To check the claim in this case, we have to prove  $d\varphi(X, Y) = -[\omega(X), \varphi(Y)]$ .  $\square$

Let  $\omega_0$  be a connection 1-form on  $P$  and  $\omega$  is a 1-form on  $P$  with values in  $\mathfrak{g}$  satisfying  $r_g^*\omega = \text{Ad}_{g^{-1}}\omega$  and  $\omega|_V = 0$ . Let  $\omega_t = \omega_0 + t\omega$  with  $t \in \mathbb{R}$ . This is a smoothly varying family of connection 1-forms defining  $H_t = \ker \omega_t$ . Let  $\Omega_t$  be the curvature of  $H_t$ . Then

$$\begin{aligned} \Omega &= d\omega_t + [\omega_t, \omega_t] = d\omega_0 + t d\omega + [\omega_0 + t\omega, \omega_0 + t\omega] = \\ &= d\omega_0 + [\omega_0, \omega_0] + t(d\omega + [\omega_0, \omega] + [\omega, \omega_0]) + t^2[\omega, \omega] = \\ &= \Omega_0 + tD_0\omega + t^2[\omega, \omega] \end{aligned}$$

where  $D_0$  is the derivative with respect to  $\omega_0$  or  $H_0$ .

$$\left. \frac{d}{dt} \Omega_t \right|_{t=0} = D_0\omega$$

With the Yang–Mills–functional

$$\mathcal{YM}: \text{Conn}(P) \rightarrow \mathbb{R}, \quad H \mapsto \int_M \|\Omega\|^2 \text{dvol}$$

where we think of  $\Omega$  as a section of  $\Lambda^2 T^*M \otimes (P \times_{\text{Ad}} \mathfrak{g})$  and choose a Riemannian metric on  $M$  and an Ad-invariant scalar product on  $\mathfrak{g}$ , we have

$$\begin{aligned} \left. \frac{d}{dt} \mathcal{YM}(H_t) \right|_{t=0} &= \frac{d}{dt} \int_M \langle \Omega_t, \Omega_t \rangle \text{dvol} \\ &= \frac{d}{dt} \int_M \langle \Omega_0 + tD_0\omega + t^2[\omega, \omega], \Omega_0 + tD_0\omega + t^2[\omega, \omega] \rangle \text{dvol} \\ &= 2 \int_M \langle \Omega_0, D_0\omega \rangle \text{dvol} = 2 \int_M \langle D_0^*\Omega_0, \omega \rangle \text{dvol} \end{aligned}$$

**Proposition.**  $H_0 = \ker \omega_0$  is a critical point of  $\mathcal{YM}$  if and only if  $D_0^*\Omega_0 = 0$ .

*Remark.* We always have  $D_0\Omega_0 = 0$  by the Bianchi identity.



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## 2.6 Principal $S^1$ -bundles

We consider principal  $S^1$ -bundles over  $M$ .  $\mathfrak{g}$ -valued forms on  $P$  or  $M$  are ordinary forms. Because  $G$  is Abelian,  $\text{Ad}: G \rightarrow \text{Aut}(\mathfrak{g})$  sends  $G$  to  $\text{id}_{\mathfrak{g}}$ . A connection 1-form  $\omega$  on a principal  $S^1$ -bundle  $P \xrightarrow{\pi} M$  is an ordinary  $S^1$ -invariant 1-form on  $P$ , since

$$r_g^* \omega = \text{Ad}_{g^{-1}} \omega = \omega.$$

The curvature  $\Omega$  is an  $S^1$ -invariant ordinary 2-form on  $P$  which vanishes on vertical vectors. We can think of  $\Omega$  as an ordinary 2-form on  $M$ . By the structure equation

$$D\omega = \Omega = d\omega + [\omega, \omega] = d\omega$$

on  $P$  since  $G$  is Abelian. On  $M$ ,  $\Omega$  is closed but not necessarily exact, because  $\omega$  is not defined on  $M$ , only on  $P$ . In this case  $D = d$ . The Yang–Mills–equation  $D_0^* \Omega_0 = 0$  becomes  $d^* \Omega_0 = 0$ . Since  $\Omega_0$  is closed,  $H_0$  is a Yang–Mills–connection if and only if  $\Omega_0$  is a harmonic 2-form. Let  $\omega_0, \omega_1$  be 2 different connection 1-forms on a principal  $S^1$ -bundle  $P \rightarrow M$  and  $\omega := \omega_1 - \omega_0$ . Then

$$\Omega_1 = d\omega_1 = d(\omega_0 + \omega) = d\omega_0 + d\omega = \Omega_0 + d\omega$$

with  $\omega$  defined on  $M$ . So  $[\Omega] \in H_{\text{dR}}^2(M)$  is independent of the connection whose curvature we take.

**Definition.**  $[\Omega] \in H_{\text{dR}}^2(M)$  is the *Euler class* of  $P \rightarrow M$  (or *first Chern class* if  $G = U(1)$ ).

Given a principal  $S^1$ -bundle  $P \xrightarrow{\pi} M$ , let  $C(P) \in H_{\text{dR}}^2(M)$  be its Euler class and  $\mathcal{C}_P$  the space of closed 2-forms on  $M$  whose cohomology class is  $C(P)$ .

**Lemma.** *Every  $\alpha \in \mathcal{C}_P$  is the curvature of some connection on  $P$ .*

*Proof.* Choose some connection  $\omega_0$  on  $P$  with curvature  $\Omega_0$ . Then  $\Omega_0 - \alpha$  is exact and we can write  $\alpha = \Omega_0 + d\omega$  for some 1-form  $\omega$  on  $M$ . Then  $\omega_0 + \pi^* \omega$  is a connection 1-form with curvature  $\alpha$ .  $\square$

Let  $\mathcal{A}$  be the affine space of connections on  $P$  and define the map

$$c: \mathcal{A} \rightarrow \mathcal{C}_P, \quad \ker \omega = H \mapsto \Omega.$$

Then by the above lemma,  $c$  is surjective.

**Lemma.** *For every  $\Omega \in \mathcal{C}_P$ , the preimage  $c^{-1}(\Omega)$  can be identified with  $\mathcal{C}^1$ , the space of closed forms on  $M$ .*

*Proof.* Let  $H_0 = \ker \omega_0 \in c^{-1}(\Omega)$ . Every other connection 1-form  $\omega_1$  on  $P$  is defined by  $\omega_1 = \omega_0 + \pi^* \omega$  for some 1-form  $\omega$  on  $M$ . The curvature  $\Omega_1$  of  $H_1 = \ker \omega_1$  is

$$\Omega_1 = d\omega_1 = d\omega_0 + d\pi^* \omega = \Omega + \pi^* d\omega$$

so  $H_1 \in c^{-1}(\Omega)$  if and only if  $\pi^* d\omega = 0$ , which is equivalent to  $d\omega = 0$ , i.e.  $\omega \in \mathcal{C}^1$ .  $\square$

The gauge group  $\mathcal{G}$  is, since  $S^1$  is Abelian,

$$\begin{aligned}\mathcal{G} &= \text{Aut}(P) = \{u: P \rightarrow S^1 \mid u(pg) = g^{-1}u(p)g\} = \{u: P \rightarrow S^1 \mid u(pg) = u(p)\} \\ &= \{\bar{u}: M \rightarrow S^1\}.\end{aligned}$$

$\mathcal{G}$  acts on  $A$  by

$$\phi^*\omega_0 = \text{Ad}_{u^{-1}}\omega_0 + u^*\theta = \omega_0 + u^*\theta = \omega_0 + \pi^*(\bar{u}^*\theta).$$

The curvature of  $\phi^*\omega_0$  is

$$d\phi^*\omega_0 = d\omega_0 + d\pi^*(\bar{u}^*\theta) = d\omega_0 + \pi^*\bar{u}^*d\theta = d\omega_0.$$

The map  $c: \mathcal{A} \rightarrow \mathcal{C}_P$  descends to

$$\mathcal{A}/\mathcal{G} \xrightarrow{\bar{c}} \mathcal{C}_P$$

which is again surjective and  $\mathcal{C}^1$  surjects onto  $\bar{c}^{-1}(\Omega)$ .

Let  $\mathcal{G}H_0 = \mathcal{G} \ker \omega_0 \in \bar{c}^{-1}(\Omega)$ . Then every other gauge equivalence class in  $\bar{c}^{-1}(\Omega)$  is represented by  $\omega_0 + \pi^*\omega$  for some closed  $\omega$ . What is the condition on  $\omega$  and  $\omega'$  to ensure that  $\omega_0 + \pi^*\omega$  and  $\omega_0 + \pi^*\omega'$  are gauge equivalent?

$$\omega_0 + \pi^*\omega' = \phi^*(\omega_0 + \pi^*\omega) = \omega_0 + \pi^*\omega + \pi^*(\bar{u}^*\theta) \iff \omega' = \omega + \bar{u}^*\theta$$

The map  $\exp: \mathbb{R} \rightarrow S^1, t \mapsto e^{2\pi it}$  is a universal cover of  $S^1$ . We lift  $\bar{u}: M \rightarrow S^1$  to  $\tilde{u}: M \rightarrow \mathbb{R}$  such that

$$\begin{array}{ccc} & & \mathbb{R} \\ & \nearrow \tilde{u} & \downarrow e^{2\pi it} \\ M & \xrightarrow{\bar{u}} & S^1 \end{array}$$

commutes. So  $\bar{u}^*\theta = \tilde{u}^*\exp^*\theta = \tilde{u}^*(dt) = d\tilde{u}$ , i.e. if  $\bar{u} = \exp \circ \tilde{u}$ , then  $\bar{u}^*\theta = d\tilde{u}$ . Conversely, for every exact 1-form  $\alpha$  on  $M$ , we can choose a  $\tilde{u} \in C^\infty(M)$  such that  $\alpha = d\tilde{u}$  and consider  $\exp \circ \tilde{u} = \bar{u}$  as a gauge transformation of  $P$ . So  $\mathcal{C}^1/\mathcal{E}^1 = H_{\text{dR}}^1(M)$  surjects onto  $\bar{c}^{-1}(\Omega)$ .

$$[M, S^1] \rightarrow H^1(M, \mathbb{Z}), \quad [\bar{u}] \mapsto [\bar{u}^*\theta] = \bar{u}^*[\theta]$$

**Lemma.** For any  $\Omega \in \mathcal{C}_P$ , the preimage  $\bar{c}^{-1}(\Omega) \in \mathcal{A}/\mathcal{G}$  can be parametrized by the quotient

$$H^1(M, \mathbb{R})/H^1(M, \mathbb{Z}),$$

i.e. the sequence  $\mathbb{C}^1 \rightarrow \mathcal{A} \rightarrow \mathcal{C}_P$  induces

$$H^1(M, \mathbb{R})/H^1(M, \mathbb{Z}) \longrightarrow \mathcal{A}/\mathcal{G} \longrightarrow \mathcal{C}_P.$$

A connection  $H_0 = \ker \omega_0$  is a critical point of the Yang–Mills–functional if and only if  $d^*\Omega_0 = 0$ . We know that  $d\Omega_0 = 0$ . If  $M$  is compact without boundary, the pair of equations

$$d\Omega_0 = 0 \quad d^*\Omega_0 = 0$$

is equivalent to  $\Delta\Omega_0 = 0$ , where  $\Delta = dd^* + d^*d$ . By Hodge theory, there is a unique harmonic 2–form in  $\mathcal{C}_P$ . All Yang–Mills–connections on  $P$  map to the unique harmonic 2–form in  $\mathcal{C}_P$ .

The gauge equivalence classes of Yang–Mills–connections on  $P$  are parametrized by  $H^1(M, \mathbb{R})/H^1(M, \mathbb{Z})$ . If  $H^1(M, \mathbb{R}) = 0$ , then there is a unique gauge equivalence class of Yang–Mills–connections on every principal  $S^1$ –bundle  $P \rightarrow M$ .

## 2.7 The Yang–Mills–equations

Fix a Lie group  $G$  with the property that  $\mathfrak{g}$  has a positive definite scalar product (e.g.  $G$  is compact). We fix once and for all such a  $\langle -, - \rangle$ . Let  $(M, g)$  be a compact Riemannian manifold, oriented without boundary.

If  $P \xrightarrow{\pi} M$  is a principal  $G$ –bundle, then the space of connections  $\mathcal{A}$  on  $P$  is an affine space for  $\Omega^1(M, E) = \Gamma(T^*M \otimes E)$  where  $E = P \times_{\text{Ad}} \mathfrak{g}$ . We have metrics on  $T^*M$  and  $E$ .

More generally, we can look at  $k$ –forms on  $M$  with values in  $E$ .

$$\Omega^k(M, E) = \Gamma(\Lambda^k T^*M \otimes E)$$

**Example.** The curvature form of a connection on  $P$  is an element of  $\Omega^2(M, E)$ .

Elements of  $\Omega^k(M, E)$  correspond to  $k$ –forms  $\alpha$  on  $P$  with the following properties:

- (1)  $\alpha(X_1, \dots, X_k) = 0$  if one of the  $X_1, \dots, X_k$  is vertical.
- (2)  $r_g^* \alpha = \text{Ad}_{g^{-1}} \alpha$  for all  $g \in G$ .

We define the following operations on  $\Omega^k(M, E)$ :

$$[-, -]: \Omega^k(M, E) \times \Omega^l(M, E) \rightarrow \Omega^{k+l}(M, E), \quad (\alpha \otimes v, \beta \otimes w) \mapsto (\alpha \wedge \beta) \otimes [v, w]_{\mathfrak{g}}$$

$$\wedge: \Omega^k(M, E) \times \Omega^l(M, E) \rightarrow \Omega^{k+l}(M, E), \quad (\alpha \otimes v, \beta \otimes w) \mapsto \langle v, w \rangle \alpha \wedge \beta$$

A connection  $H = \ker \omega$  on  $P$  defines a covariant derivative  $D$  on  $\mathfrak{g}$ –valued  $k$ –forms on  $P$  by

$$D\alpha = d\alpha \circ \mathcal{H}.$$

If  $\alpha$  has values in  $\mathfrak{g}$  and satisfies (1) and (2), then so does  $D\alpha$ . Therefore,  $D$  can be thought of as

$$D: \Omega^k(M, E) \rightarrow \Omega^{k+1}(M, E)$$

$D$  is compatible with the metric and with  $[-, -]$  and  $\wedge$ . That means: Let  $V \rightarrow M$  be a vector bundle with scalar product  $\langle -, - \rangle$ . A covariant derivative  $D: \Omega^k(M, V) \rightarrow \Omega^{k+1}(M, V)$  is compatible with  $\langle -, - \rangle$  if

$$d\langle s_1, s_2 \rangle = \langle Ds_1, s_2 \rangle + \langle s_1, Ds_2 \rangle.$$

For  $\omega^k \in \Omega^k(M, E)$  and  $\omega^l \in \Omega^l(M, E)$ , we have

$$d(\omega^k \wedge \omega^l) = D\omega^k \wedge \omega^l \pm \omega^k \wedge D\omega^l$$

Let  $V$  be a real vector space with an orientation, and  $\langle -, - \rangle$  a positive definit scalar product on  $V$ . The volume form  $\text{dvol} \in \Lambda^n V^*$  where  $n = \dim V$  is defined by  $\text{dvol}(e_1, \dots, e_n) = 1$  if  $e_1, \dots, e_n$  is a positively oriented orthonormal basis of  $V$ . The scalar product induces a scalar product  $V^*$  by the requirement that the isomorphism  $V \rightarrow V^*, v \mapsto \langle v, - \rangle$  should be isometric. This also gives rise to a scalar product  $\langle -, - \rangle$  on  $\Lambda^k V^*$  where vectors of the form  $\lambda_{i_1} \wedge \dots \wedge \lambda_{i_k}$  with  $\lambda_1, \dots, \lambda_n$  an orthonormal basis of  $V^*$  and  $i_1 < \dots < i_k$  have length 1.

If  $\langle -, - \rangle_0$  and  $\langle -, - \rangle_1$  are two different scalar products on  $V$ , such that  $\langle -, - \rangle_1 = \lambda^2 \langle -, - \rangle_0$  with  $\lambda > 0$ , then  $\langle -, - \rangle_1 = \lambda^{-2k} \langle -, - \rangle_0$  on  $\Lambda^k V^*$  and  $\text{dvol}_1 = \lambda^n \text{dvol}_0$ .

We define the Hodge operator

$$\star: \Lambda^k V^* \rightarrow \Lambda^{n-k} V^*$$

by  $\alpha \wedge \star\beta = \langle \alpha, \beta \rangle \text{dvol}$  for all  $\alpha, \beta \in \Lambda^k V^*$ . One can check that  $\star\star = (-1)^{k(n-k)}$ .

If again  $\langle -, - \rangle_1 = \lambda^2 \langle -, - \rangle_0$  on  $V$ , then

$$\alpha \wedge \star_1 \beta = \langle \alpha, \beta \rangle_1 \text{dvol}_1 = \lambda^{-2k} \langle \alpha, \beta \rangle_0 \lambda^n \text{dvol}_0 = \lambda^{n-2k} \alpha \wedge \star_0 \beta$$

for all  $\alpha, \beta \in \Lambda^k V^*$ , so  $\star_1 = \lambda^{n-2k} \star_0$  on  $\Lambda^k V^*$ .

Let  $M$  be an oriented Riemannian manifold. Then the Hodge star

$$\star: \Omega^k(M) \rightarrow \Omega^{n-k}(M)$$

where  $n = \dim M$  is defined fiberwise as above, i.e.

$$\alpha \wedge \star\beta = \langle \alpha, \beta \rangle \text{dvol} \quad \star\star = (-1)^{n(n-k)} \quad \forall \alpha, \beta \in \Omega^k(M)$$

Let  $P \rightarrow M$  be a principal  $G$ -bundle, fix an Ad-invariant positive definit scalar product on the Lie algebra  $\mathfrak{g}$  and define  $E := P \times_{\text{Ad}} \mathfrak{g}$ . We can extend  $\star$  to a map  $\Omega^k(M, E) \rightarrow \Omega^{n-k}(M, E)$  using the same formula with the above defined operation  $\wedge: \Omega^k(M, E) \times \Omega^{n-k}(M, E) \rightarrow \Omega^n(M)$ .

**Lemma.** *Let  $M$  be a compact oriented Riemannian manifold without boundary. On  $\Omega^k(M, E)$ ,  $k \geq 1$ , we have  $D^* = (-1)^{nk-n+1} \star D \star$ , where  $D$  is the covariant derivative defined by a connection on  $P$ .*

*Proof.* Let  $\alpha \in \Omega^{k-1}(M, E)$  and  $\beta \in \Omega^k(M, E)$ . Then by Stokes' theorem,

$$\begin{aligned} 0 &= \int_M d(\alpha \wedge \star\beta) = \int_M D\alpha \wedge \star\beta + (-1)^{k-1} \int_M \alpha \wedge D\star\beta \\ &= \int_M \langle D\alpha, \beta \rangle \text{dvol} + (-1)^{k-1} \int_M \langle \alpha, \star^{-1} D\star\beta \rangle \text{dvol} \end{aligned}$$

So we have, for  $\beta \in \Omega^k(M, E)$ :

$$D^* \beta = -(-1)^{k-1} (-1)^{(k-1)(n-k+1)} \star D \star \beta = (-1)^{nk+n+1} \star D \star \beta \quad \square$$

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Now for  $\omega_t = \omega_0 + t\omega$  with  $t \in \mathbb{R}$  and  $\omega \in \Omega^1(M, E)$ , if  $\omega_0$  is a critical point of the Yang–Mills–functional, we have

$$0 = \frac{d}{dt} \mathcal{YM}(\omega_t) \Big|_{t=0} = 2 \int_M \langle \Omega_0, D_0 \omega \rangle \, \text{dvol} = (-1)^{n+1} 2 \int_M \langle \star D_0 \star \Omega_0, \omega \rangle \, \text{dvol}.$$

So

$$D_0 \star \Omega_0 = 0$$

which is the *Yang–Mills–equation* for  $\omega_0$ . The Yang–Mills–equation is a second order differential equation.

Assume  $n = \dim M = 4$ . Then  $\star \Omega_0$  is a 2–form just like  $\Omega_0$  itself. By the Bianchi identity,  $D_0 \Omega_0 = 0$ . We want to know when  $\Omega_0 = \star \Omega_0$  holds. In the case  $n = 4, k = 2$ ,  $\star$  is an endomorphism of the 6–dimensional vector space  $\Lambda^2 V$ . It has eigenvalues  $\pm 1$  and this splits  $\Lambda^2 V$ :

$$\Lambda^2 V = \Lambda_+^2 V \oplus \Lambda_-^2 V$$

where  $\star$  acts as  $\pm 1$  on  $\Lambda_{\pm}^2 V$ .  $\Lambda_+^2 V$  is called the *self–dual (SD)* and  $\Lambda_-^2 V$  the *anti–self–dual (ASD)* part of  $\Lambda^2 V$ .

If  $\alpha_1, \dots, \alpha_4$  is an orthonormal basis for  $V^*$ , then we have a basis for  $\Lambda_{\pm}^2$  given by

$$\begin{aligned} \alpha_1 \wedge \alpha_2 \pm \alpha_3 \wedge \alpha_4 \\ \alpha_1 \wedge \alpha_3 \pm \alpha_4 \wedge \alpha_2 \\ \alpha_1 \wedge \alpha_4 \pm \alpha_2 \wedge \alpha_3 \end{aligned}$$

If  $\omega \in \Lambda_+^2 V$  and  $\eta \in \Lambda_-^2 V$ , then

$$\omega \wedge \eta = \star \omega \wedge \eta = \eta \wedge \star \omega = \langle \eta, \omega \rangle \, \text{dvol} = 0$$

$\Lambda_{\pm}^2 V$  are orthogonal for  $\langle -, - \rangle$  and for  $\wedge$ . If a connection  $\omega_0$  has self–dual or anti–self–dual curvature, then  $\star \Omega_0 = \pm \Omega_0$  and  $D_0 \star \Omega_0 = 0$  because of the Bianchi identity.

The equation  $\star \Omega_0 = \pm \Omega_0$  is the *(anti–)self–duality equation* for  $\omega_0$ . It implies the Yang–Mills equation.

**Lemma.** *On a 4–manifold  $M$ , the Yang–Mills–equation and the (anti–)self–duality equation are conformally invariant.*

*Proof.* Two metrics  $\langle -, - \rangle_1$  and  $\langle -, - \rangle_0$  on  $M$  are conformally invariant if  $\langle -, - \rangle_1 = \lambda^2 \langle -, - \rangle_0$  for some  $\lambda \neq 0, \lambda \in C^\infty(M)$ .

We calculated that on  $k$ –forms,  $\star_1 = \lambda^{n-2k} \star_0$ , so in our case  $\star_1 = \star_0$  for 2–forms and so the (A)SD equations for 2–forms with respect to the two metrics agree. The Yang–Mills equation is  $D_0 \star \Omega_0 = 0$ . Since the metric enters only in  $\star$ , this is the same for both metrics.  $\square$